

**UNITED STATES HOUSE OF REPRESENTATIVES  
2020 FINANCIAL DISCLOSURE STATEMENT**

Form A  
For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

Name: Rohit Khanna Daytime Telephone: 202-225-2631

OFFICE OF THE CLERK  
A \$200 penalty will be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CA</u> District: <u>17</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2020 Annual (Due: May 17, 2021)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <b>OR</b> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gifts (excluding more than \$415 in value from a single source during the reporting period)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet at three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name:

Page 2 of 328

BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E	
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income												Transaction	
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting threshold. For bank and other cash accounts, list the amount in all interest-bearing accounts, if the total is over \$5,000. List every financial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a "retail investment" program, including the Thrift Savings Plan. If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.		Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by you, spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.								For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period. If only a portion of an asset was sold, please indicate on disclosure (S (part)). Leave this column blank if there are no transactions that exceeded \$1,000.	
SP, DC, JT	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	Signed	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	P, S, S(part), or E	
															X						Regular Income															
																					Partnership Income															

Please see the attached

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Rohit Khanna

Page 3 of 328

SP	DC	JT	ASSET NAME	EP	BLOCK B													BLOCK C							BLOCK D												BLOCK E Transaction																		
					Value of Asset													Type of Income							Amount of Income																														
					A	B	C	D	E	F	G	H	I	J	K	L	M																																						
					None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Pann Income)																														
					None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$10,000	\$10,001-\$15,000	\$15,001-\$25,000	\$25,001-\$50,000	\$50,001-\$100,000	\$100,001-\$150,000	\$150,001-\$200,000	\$200,001-\$250,000	\$250,001-\$300,000	\$300,001-\$350,000	\$350,001-\$400,000	\$400,001-\$450,000	\$450,001-\$500,000	\$500,001-\$550,000	\$550,001-\$600,000	\$600,001-\$650,000	\$650,001-\$700,000	\$700,001-\$750,000	\$750,001-\$800,000	\$800,001-\$850,000	\$850,001-\$900,000	\$900,001-\$950,000	\$950,001-\$1,000,000	Over \$1,000,000	Spouse/DC Asset with Income over \$1,000,000*																					
			Khanana Family Bank Accounts																																																				
SP			Citibank Checking		X													X																																					
SP			Citibank Savings															X																																					
JT			Citibank Checking															X																																					
JT			Citibank Savings															X																																					
JT			Citibank Checking															X																																					
JT			Citibank Checking															X																																					
DC			Citibank Savings															X																																					
			Khan Abaja Life Insurance																																																				
SP			Pacific Life Insurance Co-Universal Life																																																				
			Khan Abaja Rev. Trust																																																				
SP			Condo NY																																																				
			Khan Abaja 1994 Trust																																																				
SP			Kayne Anderson Maccentos Partners	X	X																																																		



















































# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Rohit Khanna**

Page **26** of **328**

BLOCK A Assets on Per Income Sources		BLOCK B Values of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	BP	A	B	C	D	E	F	G	H	I	J	K	L	M	None	Dividends	Rent	Interest	Capital Gains	Excluded/Blind Trust	Tax-Deferred	Other Type of Income (Primarily Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P	S	S (net)			
			None	\$1-\$1,000	\$1,001-\$5,000	\$5,001-\$25,000	\$25,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	\$0-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$7,500	\$7,501-\$10,000	\$10,001-\$12,500	\$12,501-\$15,000	\$15,001-\$20,000	\$20,001-\$25,000	\$25,001-\$30,000	\$30,001-\$35,000	\$35,001-\$40,000	\$40,001-\$45,000	\$45,001-\$50,000	\$50,001-\$55,000	\$55,001-\$60,000	\$60,001-\$65,000	\$65,001-\$70,000	\$70,001-\$75,000	\$75,001-\$80,000	\$80,001-\$85,000	\$85,001-\$90,000	\$90,001-\$95,000	\$95,001-\$100,000	
SP	CONCHO RESOURCES INC. GAIN	X																																						
SP	CONOCOPHILLIPS GAIN	X																																						
SP	CONSOLIDATED IRON INC GAIN	X																																						
SP	CONTINENTAL RESOURCES INC GAIN CLASS A	X																																						
SP	COOPER COMPANIES INC (NYSE) GAIN	X																																						
SP	CORPACT INC GAIN																																							
SP	CORNING INCORPORATED GAIN																																							
SP	CORTEVA, INC. GAIN																																							
SP	COSTCO WHOLESALE CORPORATION GAIN																																							
SP	COTY, INC. GAIN CLASS A	X																																						
SP	CARR, INC. GAIN	X																																						
SP	CARDEN GABLES INTL CORP GAIN																																							
SP	CELESTY HOLDINGS INC GAIN																																							
SP	CFR CORPORATION GAIN																																							
SP	CLAMPIN INC COMMON STOCK																																							
SP	CVS HEALTH COIP GAIN																																							
SP	CYBERESS SEMICONDUCTOR CORP GAIN	X																																						
SP	D.J. HORTON, INC. GAIN	X																																						
SP	DANAHER CORPORATION GAIN																																							
SP	DANUBEN RESTAURANTS, INC. GAIN																																							
SP	DEBBE & COMPANY GAIN																																							
SP	DELL TECHNOLOGIES INC. GAIN																																							
SP	DELTA AIR LINES, INC. GAIN	X																																						
SP	DENTON, Y. REGIONAL INC GAIN																																							
SP	DIXON ENERGY CORPORATION (NYSE) GAIN	X																																						

P.149-qw-8







**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Ruth Khanna**

Page 29 of 326

BLOCK A Assets as per Income Statement		BLOCK B Values of Asset														BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction						
SP DC	ASSET NAME	Value of Asset														Type of Income								Amount of Income												Transaction						
		None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TRUST/DEFERRED	Other Type of Income (Partnership Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P	9	11				
SP	SP/RESCOURCES ENERGY CAN	X																	X																				X			
SP	ECHOLINE, INC. CAN	X																	X																					X		
SP	EXCELIN CORPORATION CAN	X																	X																					X		
SP	EXEDORA GROUP INC CAN	X																	X																					X		
SP	EXEDUTORIS INTERNATIONAL OF WA CAN	X																	X																					X		
SP	EXTIVA SPACE STORAGE INC. CAN	X																	X																				X			
SP	EXOTON MOBIL CORPORATION CAN	X																	X																				X			
SP	F5 NETWORKS, INC. CAN	X																	X																				X			
SP	FACEBOOK, INC. CAN CLASS A	X																	X																				X			
SP	FAIR S&AD INC CAN	X																	X																				X			
SP	FANTASAL COMPANY CAN	X																	X																				X			
SP	FERRIVAL ELEV INV TR FID CAN	X																	X																				X			
SP	FINDEX CORPORATION CAN	X																	X																				X			
SP	FINLETILITY NAVI INVO SVCS INC CAN	X																	X																				X			
SP	FINTL THIRD BANKCORP CAN	X																	X																				X			
SP	FINTECH INC CAN	X																	X																				X			
SP	FIRST REPUBLIC BANK CAN BRANCHES	X																	X																				X			
SP	FIRST SOLAR, INC. CAN	X																	X																				X			
SP	FIRSTENERGY CORP CAN	X																	X																				X			
SP	PRINCEY, INC. CAN	X																	X																				X			
SP	FILA FT HOLD 2D PUB HD GO 5000W 060120 1D RFDG-CAP	X																	X																				X			
SP	OUTLAY-488 D BR0	X																	X																				X			
SP	PARASOUR TECHNOLOGIES, INC. CAN	X																	X																				X			
SP	PATA STORAGE INC CAN	X																	X																				X			
SP	PLACENTA ST PART TALKEN REV 5% 07/01/11 ST ALLIATOR	X																	X																				X			
SP	ALLIAT REV REV REV 2017 A BR0	X																	X																				X			
SP	FLOWERSYS CORPORATION CAN	X																	X																				X			

P.1 Page 8











SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Rahn Khanna

Page 36 of 326

BLOCK A Assets on an Income Source	BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction				
	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Partnership Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P	S	S (Gain)		
ASSET NAME																																						
SP EAS VERDAS SANDS CORP. CAN																																						
SP LEAR CORPORATION CAN																																						
SP LEGGETT & PLATT, INCORPORATED CAN																																						
SP LEIDOS BUILDERS INC. CAN																																						
SP LEINVALE CORPORATION CAN CLASS A																																						
SP LEINVALE CORPORATION CAN CLASS B																																						
SP LEINVALE CORPORATION CAN CLASS C																																						
SP LIBERTY BEACONLAND CORPORATION CAN CLASS C																																						
SP LIBERTY GLOBAL, P.L.C. CAN CLASS A																																						
SP LIBERTY GLOBAL, P.L.C. CAN CLASS C																																						
SP LIBERTY MEDIA CORPORATION SERIES C LIBERTY BUILDERS CAN																																						
SP LINCOLN NAT. CORP. INC. CAN																																						
SP LINCOLN NAT. CORP. INC. CAN																																						
SP LINDS P.L.C. CAN																																						
SP LONG GATE ENTERTAINMENT CORR. CAN CLASS A																																						
SP LONG GATE ENTERTAINMENT CORR. CAN CLASS B																																						
SP LUTHERFORD, INC. CAN																																						
SP LYVE NATION ENTERTAINMENT INC. CAN																																						
SP LUCHBERG WARTIN CORPORATION CAN																																						
SP LOWRY CORPORATION CAN																																						
SP LOWRY CORPORATION INC. CAN																																						
SP LTF FINANCIAL HOLDINGS INC. CAN																																						
SP ELLIOTTSON ATELSTICA INC. CAN																																						
SP LUDWIG TECHNOLOGIES INC. CAN																																						
SP LYFT, INC. CAN CLASS A																																						























**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Rohit Khanna**

Page **45** of **328**

BLOCK A Assets on the Income Sources	BLOCK B Values of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transactions					
	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Partnership Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	1	2	3			
ASSET NAME																																							
SP 90 QUAB, INC. GAIN CLASS A	X																																						
SP ST LOUIS MO GEN ED REV 23000% 06/01/20 ID TAX & REV ANGLE VTR 2019 RBO	X																																						
SP STANLEY BLACK & DECKER, INC. GAIN																																							
SP STANBUDS CORP GAIN																																							
SP STARS OF COURAGE GO F.0609% 04/01/71 NY GENERAL OBLIGATION BONDS 2017 SERIES A																																							
SP STARS STREET CORPORATION (NEW) GAIN																																							
SP STREI DYNAMICS, INC. GAIN																																							
SP STRELSYCLE, INC. GAIN																																							
SP STERLING BANKCORP GAIN																																							
SP STORES CAPITAL CORPORATION GAIN																																							
SP STEVENS CORPORATION GAIN																																							
SP SUN CONSTRUCTION, INC. GAIN																																							
SP SVB FINANCIAL GROUP GAIN																																							
SP SYNCHRONY FINANCIAL GAIN																																							
SP SYNORBITA INC. GAIN																																							
SP SYSCO CORPORATION GAIN																																							
SP T. MOORE PALMS GROUP, INC. GAIN																																							
SP TAKE TWO INTERACTIVE SOFTWARE INC																																							
SP TALENT, INC. GAIN																																							
SP TABORA RESEARCH CORP. GAIN																																							
SP TARGET CORPORATION GAIN																																							
SP TD AMERITRADE HOLDING CORP GAIN																																							
SP TR CONNECTIVITY LTD GAIN																																							
SP TRICORP INC GAIN																																							
SP TRIMARKATA CORPORATION GAIN																																							







SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Robb Khanna

Table with columns for Block A (Assets as of Income Source), Block B (Value of Asset), Block C (Type of Income), Block D (Amount of Income), and Block E (Transaction). Rows include assets like VAWWAB, INC. CASH CLASS A and VENEADO REALTY TRUST CASH.





**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Robit Khanna**

Page **62** of **328**

BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E				
Assets as for Income Statement		Value of Asset													Type of Income								Amount of Assets												Termination				
SP	DC	ASSET NAME	SP	Value of Asset													Type of Income								Amount of Assets												P	S	
				A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	TAX-DEFERRED	Other Type of Income (checkable boxes)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI			1/3
SP	DC	ASSET NAME	SP	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	TAX-DEFERRED	Other Type of Income (checkable boxes)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	1/3	2/3	
SP		ABC COMPANY CORP	SP	X														X																					
SP		ABBOTT LABORATORIES CORP	SP	X														X																					
SP		ABBVIES INC CORP	SP	X														X																					
SP		ACENTURIDE P/O CORP CLASS A	SP	X														X																					
SP		ACTIVISION BELZARD, INC CORP	SP	X														X																					
SP		ACTIVITY BRANDS, INC CORP	SP	X														X																					
SP		ADAMAS CONV CORP SEC TRUST NO 00 48000X 12/01/20 ID 014	SP	X														X																					
SP		ADAMS LUTHERS	SP	X														X																					
SP		ADVANCE INC CORP	SP	X														X																					
SP		ADVT INC CORP	SP	X														X																					
SP		ADVANCE AUTO PARTS, INC CORP	SP	X														X																					
SP		ADVANCED MACHO DEVICES, INC CORP	SP	X														X																					
SP		ABCORP CORP	SP	X														X																					
SP		ABCAP HOLDINGS BY GRID CORP	SP	X														X																					
SP		ABCORP CORP	SP	X														X																					
SP		ABCORP HOLDINGS BY GRID CORP	SP	X														X																					
SP		ABCORP INC CORP	SP	X														X																					
SP		ABCORP INCORPORATED CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					
SP		ABCORP INCORPORATION CORP	SP	X														X																					

3,344,476.83

















SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Rohit Khanna

Page 60 of 326

BLOCK A		BLOCK B													BLOCK C							BLOCK D													BLOCK E		
Assets as Per Income Sources		Value of Asset													Type of Income							Amount of Income													Transaction		
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	Capital Gains	EXCEPTED/BLIND TRUST	UNOCCUPIED	Other Type of Income (Particularly Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P	S		
SP, DC, JT	ASSET NAME	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spec/DC Asset over \$1,000,000*								None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	Over \$500,000	Spec/DC Asset with Income over \$1,000,000*	P	S		
SP	CONNECTICUT ST GO 3% 01/15/21 JT GO BIDS 2020 A LT BISO																	X																			
SP	CONOCOPhillips GM																			X																	
SP	CONSOLIDATED BRISON INC GM																			X																	
SP	CONSOLIDATED BRISON INC GM CLASS A																			X																	
SP	CONTINENTAL RESOURCES, INC GM																			X																	
SP	COOPER COMPANIES INC (NENY) GM																			X																	
SP	COPART INC GM																			X																	
SP	COARIBING INCORPORATED GM																			X																	
SP	COATRIVA, INC. GM																			X																	
SP	COSTCO WHOLESALE CORPORATION GM																			X																	
SP	COUTY, INC. GM CLASS A																			X																	
SP	COVENOR LTD, GM																			X																	
SP	CREDITWOOD REALTY PARTNERS LP GM																			X																	
SP	CROWN CAPITAL INTL CORP GM																			X																	
SP	CROWN HOLDINGS INC GM																			X																	
SP	CKX CORPORATION GM																			X																	
SP	CUMBERLAND CITY NJ GO 2% 11/15/20 JM BD ANTIC NTS																			X																	
SP	UT BISO																			X																	
SP	CUMMINS INC COMMON STOCK																			X																	
SP	CVA HEALTH CORP GM																			X																	
SP	D.E. HORTON INC GM																			X																	
SP	DANAHER CORPORATION GM																			X																	
SP	DALDEN INVESTMENTS, INC. GM																			X																	
SP	DCI MIDSTREAM LP GM																			X																	
SP	DEBBIS & COMPANY GM																			X																	
SP	DELL TECHNOLOGIES INC. GM																			X																	

Page 8 of 8



**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Robt Khanna**

Page 61 of 326

BLOCK A Assets and liabilities		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Tax Indicators		
SP/CP/DC	ASSET NAME	BE	Value of Asset													Type of Income								Amount of Income												P	S (amt)
			A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	UNALLOCATED	Other Type of Income (Partnership Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
SP	DIRECT AIR LINES, INC. CMAN	X	None																																X		
SP	DEERON TEX (OO 5.0000% DE/520) YA CTRB DEDU/IT 380	X	\$1,001-\$15,000																		X														X		
SP	DIRECTV AIR INC CMAN	X	\$15,001-\$25,000																																X		
SP	DEERON ENERGY CORPORATION (80%) CMAN	X	\$25,001-\$50,000																																X		
SP	DYNAMICBACK ENERGY INC CMAN	X	\$50,001-\$75,000																																X		
SP	DIGITAL REALTY TRUST, INC. CMAN	X	\$75,001-\$100,000																																X		
SP	DEERON ENERGY SERVICES CMAN	X	\$100,001-\$250,000																																X		
SP	DEERON ENERGY INC - A CMAN SERIES A	X	\$250,001-\$500,000																																X		
SP	DEERON ENERGY INC CMAN	X	\$500,001-\$1,000,000																																X		
SP	DIRECTV AIR TRUST CMAN	X	\$1,000,001-\$5,000,000																																X		
SP	DOLLAR GENERAL CORPORATION CMAN	X	\$5,000,001-\$25,000,000																																X		
SP	DOLLAR TREE STORES, INC. CMAN	X	\$25,000,001-\$50,000,000																																X		
SP	DODGSON ENERGY INC CMAN	X	Over \$50,000,000																																X		
SP	DOVER CORPORATION CMAN	X	Special-DC Asset over \$1,000,000*															None																	X		
SP	DREW INC. CMAN	X	None																																		
SP	DEAVER UTAR PALER TAX REV REV 4% (6/01/20) MAN REV BOS 6/1/20 BOS	X	None																																		
SP	DTE ENERGY COMPANY CMAN	X	None																																X		
SP	DTE ENERGY CORPORATION CMAN	X	None																																X		
SP	DTE ENERGY CORPORATION CMAN	X	None																																X		
SP	DUNEER BEAVERS GROUP, INC. CMAN	X	None																																X		
SP	DEPOMIT DE HOLDINGS INC CMAN	X	None																																X		
SP	DURHAM CNTY N C HNDL 24CS REV 4.0000% (2012)-CA VA REV BOS BEBEARCS TRANSFER INVT 2010 P-T REV 2DPA 8-T		None																																		
SP	DIGI TECHNOLOGY COMPANY CMAN	X	None																																X		
SP	STRABER BEHAVIOR, LLC CMAN	X	None																																X		

72360610208 2





























**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Ruth Khanna**

Page **75** of **98**

BLOCK A Assets on the Income Source	BLOCK B Value of Asset	BLOCK C Type of Income											BLOCK D Amount of Income												BLOCK E Transaction																
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAC-DEFERRED	Other Type of Income (Partnership Income)																			
																						I	II	III		IV	V	VI	VII	VIII	IX	X	XI	XII							
ASSET NAME	BP	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Special-DC Asset over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAC-DEFERRED	Other Type of Income (Partnership Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P	S	B				
NORWEGIAN CAUBS LINE BLDG LTD CAN																																									
NOV INC. COMMON STOCK CAN																																									
NUGO ENERGY, INC. CAN																																									
NUNCO CORPORATION CAN																																									
INVEST ELECTRIC PUBLIC LIMITED COMPANY																																									
INVEDIA CORPORATION CAN																																									
INXP SEMICONDUCTORS N.V. CAN																																									
ACCIDENTAL FERTILIZERS CORP CAN																																									
OGS ENERGY CORP (PUBLISHING CO) CAN																																									
ORND BT CO S.0000% (06/1/20) NB INFRASTRUCTURE DUPT CO																																									
BDS 2014A RTM BIFUNDED 06/26/20 UT																																									
O-I GLASS, INC. CAN																																									
ORLAERMA FT WTR BBS BBD BEV S.0000% (06/1/20) AO																																									
BEVCLVING PD BEV BEV BBS MASTERS TR 2011-A BBD BR																																									
OCLAHOLA FT WTR BBS BBD FT LN BEV 4% 10/1/21-CA AO																																									
PROGR/AM BEV BEV 2020 A BBD 5-T SVL BUDM																																									
OLATERS KANS DB 3% (06/1/21) VA GO TRMP NTR 2020 A UT																																									
BBD																																									
OLD DOMINION TRHEART LINE, INC CAN																																									
OLD REPUBLIC INTL CORP CAN																																									
OLIN CORPORATION CAN																																									
OLANCO GROUP CAN																																									
ON SEMICONDUCTOR CORPORATION CAN																																									
ONBRVAN HOLDING, INC. CAN																																									
ONBOK INC CAN																																									
ORACLE CORPORATION CAN																																									
ORICON FT GO 5% (06/01/21) BN GENERAL OBLIGATION																																									
PERUENHO BONDS UT BBD BR LIEN																																									

P.2.B. number 8









**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Robin Khanna**

Page: 9 of 25

BLOCK A Assets as per Income Statement	BLOCK B Value of Asset	BLOCK C Type of Income													BLOCK D Amount of Income													BLOCK E Transactions																																																												
		Type of Income													Amount of Income																																																																									
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	TAX DEFERRED	Other Type of Income (Partnership Income)	U	V	W	X	Y		Z	P	Q	R																																																								
ASSET NAME	EIF																																																																																							
PUT/CSIP @ 283 EXP 10/16/2020																																																																																								



**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Ritu Ahuja 1995 Trust

BLOCK A Asset Name	BLOCK B Value of Asset	BLOCK C Type of Income													BLOCK D Amount of Income											BLOCK E Transactions														
		DIVIDENDS	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	TAX-DEFERRED	Other Type of Income (Partnership Income)	I			II			Amount of Income																										
								None	A	B	C	D	E	F	G	H	I	II	III	IV	V	VI	VII	VIII	IX		X	XI												
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							
ASSET NAME	SP																																							

Name: Ritu Khanna





**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Rohit Khanna**

Page **9A** of **328**

BLOCK A		BLOCK B													BLOCK C								BLOCK D												BLOCK E			
Assets on for Income Sources		Value of Asset													Type of Income								Amount of Income												Termination			
SP	ASSET NAME	EP	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTOR/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Partnership Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P	Q	
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Special-DC Asset over \$1,000,000*	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$10,000	\$10,001-\$25,000	\$25,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	Over \$25,000,000	Special-DC Asset with Income over \$1,000,000	\$150/quarter	None					
SP	THE HERBERRY COMPANY GAN																																					
SP	THE BOWNE BROTHER, INC. GAN																																					
SP	THE KEATY BROTHERS CO GAN																																					
SP	THE PROGRESSIVE CORPORATION GAN																																					
SP	THE SOUTHERN CO. GAN																																					
SP	THE TRAVELERS COMPANIES, INC. GAN																																					
SP	THE WILLIAMS COMPANIES, INC. GAN																																					
SP	THE WILCOX ENGINE SCIENTIFIC INC GAN																																					
SP	TIFFANY & CO GAN																																					
SP	TIX COMPANIES INC (NEW) GAN																																					
SP	TOLL BROTHERS, INC. GAN																																					
SP	TRACOR SUPPLY COMPANY GAN																																					
SP	TRANS TECHNOLOGIES PUBLIC LTD GAN																																					
SP	TRANSFORM GROUP INCORPORATED GAN																																					
SP	TRANSOCEAN LTD. GAN																																					
SP	TRINITY AVEN. ALTH TEX BARG. REV 5% (ANNUAL PA																																					
SP	WANTWATER SVS REV REVUELT AND REV 2008 2017 BBD																																					
SP	WELLS FARGO FINANCIAL CORPORATION GAN																																					
SP	WORLD INC. GAN CLASS A																																					
SP	WYLLER, INC. GAN																																					
SP	TYLER TEX IS COLLATER DIST GO 5% 02/15/20 FA GO B08 2012																																					
SP	UT BBD																																					
SP	TYSON FOODS INC CL-A GAN CLASS A																																					
SP	U S DOLLAR																																					
SP	U.E. BANCOFZ GAN																																					
SP	UBER TECHNOLOGIES, INC. GAN																																					





SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Rohit Khanna

Page 95 of 96

BLOCK A			BLOCK B													BLOCK C										BLOCK D										BLOCK E							
Assets per lay Income Sources			Value of Asset													Type of Income										Amount of Income										Transaction							
SP	PC	ASSET NAME	EF	Value of Asset													Type of Income										Amount of Income										P	S	B				
				A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Potentially Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII							
				None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$150,000	\$150,001-\$200,000	\$200,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	System/CC Asset over \$1,000,000*								None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$10,000	\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$150,000	\$150,001-\$200,000	\$200,001-\$500,000	Over \$500,000	System/CC Asset with income over \$1,000,000*					
		VERIZON COMMUNICATIONS, INC. CAN	X														X																										
		VERIZON PHARMACEUTICALS INCORPO CAN	X															X																									
		VESTALVA HILLS ALA DB 5% 08/01/21 BA CO WFS 2018 UT BRD									X																																
		VF CORP CAN	X															X																									
		VALCONCES INC CAN CLASS B	X															X																									
		VALACT INC CAN	X															X																									
		VERGENIA COUNTY TRAVELER BRD REV 1.600PM 08/1/25 JAN TRAVELER BRD TRAVEL CAP WFOU BRD 2011 FEB BRD BR LUDN																X																									
		VIBA INC CAN CLASS A	X															X																									
		VALWALDE INC CAN CLASS A	X															X																									
		YONKADO REALTY TRUST CAN	X															X																									
		YOTA FINANCIAL INC CAN	X															X																									
		PUBLICAN NATIONAL CO CAN	X															X																									
		W. P. CABBY INC. CAN	X															X																									
		W. L. BERKLEY CORPORATION CAN	X															X																									
		W. L. GRACE & CO (NSW) CAN	X															X																									
		W.W. GRANGER INC CAN	X															X																									
		WALTERS BOOTS ALLIANCE INC. CAN	X															X																									
		WALZART INC CAN	X															X																									
		WALT BRANST COMPANY (TRST) CAN	X															X																									
		WASHINGTON BT CTR PARTN COUS 5% 07/01/20 JJ COUS 2018																X																									
		A CREDIT PARTN BRD																X																									
		WASHINGTON BT GO 5% 07/01/21 JJ VANCEO FUND GO BRD																X																									
		BDS 1-2016A UT BRD																X																									
		VALEOOR CNTY REV BRV BRV 5% 08/01/21 BA FUEL TAX BRV BRV 2018 BRD																X																									





**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Rohit Kumar**

Page 89 of 28

BLOCK A	Assets for Income Reporting	BLOCK B	Value of Asset														BLOCK C							BLOCK D												BLOCK E																		
			A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Partnership Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		1	2	3	4														
DC	ARROW BUILDING NY GRID GAIN																																																					
DC	ALBANYVILLE CORP GAIN																																																					
DC	ALPHEBERT INC. GAIN CLASS C																																																					
DC	AMAZON.COM INC GAIN																																																					
DC	ANTHEM, INC. GAIN																																																					
DC	ARMOR HOLDING LIMITED COMPANY GAIN																																																					
DC	ASPIRE INC. GAIN																																																					
DC	ASTET INC. GAIN																																																					
DC	AXALTA COATING SYSTEMS LTD. GAIN																																																					
DC	BANC OF AMERICA CORP GAIN																																																					
DC	BANK OF AMERICA CORP GAIN																																																					
DC	BENEFICIAL BATHING INC. CLASS B																																																					
DC	Best Best Bank Credit Services II, L.P.		X																																																			
DC	CARRIAGE ENTERTAINMENT CORP GAIN																																																					
DC	CATERER INC. GAIN																																																					
DC	COMCAST CORPORATION GAIN CLASS A YOUNG																																																					
DC	CORNING INCORPORATED GAIN																																																					
DC	DELTA AIR LINE, INC. GAIN																																																					
DC	DEVON ENERGY CORPORATION (NEW) GAIN																																																					
DC	DEERE NEWSPAPER CORPORATION GAIN CLASS A																																																					
DC	DEPT OF THE NEGOTIORS INC GAIN																																																					
DC	DECHAS INC. GAIN																																																					
DC	ENTERPRISE INC. GAIN																																																					
DC	ENTERPRISE INC. GAIN CLASS A																																																					
DC	FIDELITY INVESTOR GAIN																																																					
DC	FIDELITY INVESTOR LP																																																					

Page 89 of 28





**SCHEDULE A - ASSETS & "UNEARNED INCOME"**

Name: **Robin Khanna**

Page 2 of 26

BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E												
Assets not for Income Sources		Value of Asset													Type of Income							Amount of Income												Transaction												
SP, DC, LP	ASSET NAME	EB	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Indefinitely Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P	Q	R							
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Specified DC Asset over \$1,000,000*	None	\$1-\$250	\$201-\$1,250	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$200,000	\$200,001-\$1,000,000	\$1,000,001-\$1,000,000	\$1,000,001-\$1,000,000	\$1,000,001-\$1,000,000	Over \$1,000,000	Specified DC Asset with Income over \$1,000,000	P	Q	R	S													
	Grundschilder's Trust																																													
DC	PARFAGE VII/VIII STREET CAPITAL PARTNERS VII ACCESS LP	X					X									X																														
DC	WALT DENNEY COMPANY (TRD) OWN																X																													
DC	WELLS FARGO & CO (NEW) OWN																																													
DC	WEST STREET ENERGY PARTNERS, L.P.	X					X																																							
DC	WEST STREET STRATEGIC SOLUTIONS FUND L.P.	X					X																																							
DC	WEST STREET STRATEGIC SOLUTIONS FUND L.P.	X					X																																							
DC	WEST STREET STRATEGIC SOLUTIONS FUND L.P.	X					X																																							
DC	WOODWARD INC OWN																																													
DC	WPPX ENERGY, INC. OWN																			X																										





















# SCHEDULE B - TRANSACTIONS

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" on the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	ASSET	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date	ADDITIONAL Quantity, Month, or Share(s), if applicable	Amount of Transaction													
		Purchase	SP	Partial Sale	Exchange				A	B	C	D	E	F	G	H	I	J	K			
	THE MAIR TRUST PARTNERSHIP 1801 Alameda Blvd, Suite 1000 San Francisco, CA 94103																					
SP	ALIGN TECHNOLOGY (ALIGN)	X					05/19/2020															
SP	NETFLIX INC (NFLX)				X		03/27/2020															
SP	SNAP INC. CL (SNAP)	X					05/19/2020															
SP	MSIF TREASURY SECURITIES INST (MSIUX)	X					01/13/2020															
SP	METLER TOLEDO INTL (MTD)	X					05/13/2020															
SP	NETFLIX INC (NFLX)	X					05/19/2020															
SP	REALPAGE INC (RP)	X					05/13/2020															
SP	ESTEE LAUDER CO INC CL (EL)	X					05/19/2020															
SP	AMERICAN TOWER REIT COM (AMT)	X					09/17/2020															
SP	ALIGN TECHNOLOGY (ALIGN)			X			11/18/2020															
SP	ECOLAB INC (ECL)	X					05/19/2020															
SP	CME GROUP INC (CME)	X					09/22/2020															
SP	LIBERTY BROADBAND CORP S-CL (LBRDK)	X					05/13/2020															
SP	FIRST REP BK SAN FRANCISCO CAL (FRFC)	X					05/13/2020															
SP	CREDIT ACCEPTANCE CORP (CAOC)	X					05/13/2020															
SP	FASTENAL CO (FAST)	X					05/13/2020															
SP	BROWN & BROWN INC (BRO)	X					05/13/2020															
SP	MSIF TREASURY SECURITIES INST (MSIUX)	X					01/17/2020															
SP	IHS MARKIT LTD (IHO)	X					05/19/2020															
SP	MICROCHIP TECHNOLOGY INC (MCHP)	X					05/13/2020															
SP	VERISK ANALYTICS INC COM (VRSK)	X					05/13/2020															
SP	EQUINIX INC (EQIX)	X					01/24/2020															
SP	COBTAR GROUP INC (CGGP)	X					05/13/2020															
SP	ADOBE INC (ADBE)	X					03/27/2020															



















**SCHEDULE B - TRANSACTIONS**

Name: **Rohit Khanna**

Page 110 of 326

SP, DC, JT	ASSET	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (Month, Year, or Bi-monthly if applicable)	Amount of Transaction												
		Purchase	Sale	Partial Sale	Exchange			A -\$1,001-\$10,000	B \$10,001-\$25,000	C \$25,001-\$50,000	D \$50,001-\$100,000	E \$100,001-\$250,000	F \$250,001-\$500,000	G \$500,001-\$1,000,000	H \$1,000,001-\$2,500,000	I \$2,500,001-\$5,000,000	J Over \$5,000,000	K Over \$1,000,000 (Special DC Asset)		
SP	ANEKO L.P. Rou Ahuja 1995 Trust						11/18/2020	X												
SP	DANAHER CORPORATION(DHE)	X																		

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sale transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.















































**SCHEDULE B - TRANSACTIONS**  
 Ritu Ahuja 1994 Trust

Name: **Robin Khanna**

Report any purchases, sales, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions first realized in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and discuss the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	ASSET	Type of Transaction	Date	Quantity or Number, Identity, or Shortly, if applicable	A	B	C	D	E	F	G	H	I	J	K
					Amount of Transaction										
SP	COOPER COMPANIES INC (NEW) CMN	X	6/23/2020	10710											
SP	URBAN OUTITTERS INC CMN	X	6/30/2020	20070											
SP	BESMED INC. CMN	X	11/3/2020	10070											
SP	ZYNCA INC. CMN CLASS A	X	3/24/2020	10000											
SP	WEBSTERHOUSE AIR BEARER TECHNOL CMN	X	6/23/2020	10000											
SP	CHOICE HOTELS INTERNATIONAL, I CMN	X	3/24/2020	10000											
SP	NVIDIA CORPORATION CMN	X	1/8/2020	10000											
SP	HEWLETT PACKARD ENTERPRISE CO CMN	X	11/3/2020	10000											
SP	PISERV, INC. CMN	X	3/24/2020	10000											
SP	BILTON WORLDWIDE HOLDINGS INC. CMN	X	1/8/2020	10000											
SP	CORNING INCORPORATED CMN	X	4/16/2020	10000											
SP	SAP GLOBAL INC. CMN	X	2/11/2020	10000											
SP	NEW YORK TIMES CO. A CMN CLASS A	X	3/24/2020	10000											
SP	CMB GROUP INC. CMN CLASS A	X	3/24/2020	10000											
SP	PERKINELMER, INC CMN	X	6/23/2020	10000											
SP	WEBSTERHOUSE AIR BRAKE TECHNOL CMN	X	6/23/2020	10000											
SP	GARTNER, INC. CMN	X	4/14/2020	10000											
SP	VALERO ENERGY CORPORATION CMN	X	2/11/2020	10000											
SP	AT&T INC. CMN	X	9/15/2020	10000											
SP	ANIXIA NETWORKS, INC. CMN	X	2/11/2020	10000											
SP	LIBERTY GLOBAL, PLC. CMN CLASS C	X	4/14/2020	10000											
SP	WATERS CORPORATION COMMON STOCK	X	9/29/2020	10000											
SP	AGILENT TECHNOLOGIES, INC. CMN	X	6/23/2020	10000											
SP	DUKE ENERGY CORPORATION CMN	X	9/29/2020	10000											
SP	ROPER TECHNOLOGIES INC CMN	X	1/13/2020	10000											
SP	ANALOG DEVICES, INC. CMN	X	7/29/2020	10000											
SP	HORNBEL PRODS CORPORATION CMN	X	3/24/2020	10000											
					Over \$50,000,000										
					Over \$1,000,000										(Excess DC Asset)





SCHEDULE B - TRANSACTIONS

Ritu Ahuja 1994 Trust

Name: Ritu Ahuja

Page 24 of 26

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Exclude transactions that resulted in a net loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" on the type of transaction. Capital Gain: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

Table with columns: SP, DC, JT, ASSET, Type of Transaction, Date, Amount of Transaction (A-K), and Check Box for Capital Gain Exceeded \$200.

# SCHEDULE B - TRANSACTIONS

Ritu Ahuja 1994 Trust

Name: **Rohit Khanna**      Page 136 of 326

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period at any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please describe "partial sale" as the type of transaction. Capital gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a non-deferred account; any describe the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, JO, JT	ASSET	Type of Transaction		Date	Amount of Transaction																
		SP	JO		A	B	C	D	E	F	G	H	I	J	K						
SP	VIACOMM INC. CMN CLASS B	X		2/26/2020	X																
SP	LYONS GATE ENTERTAINMENT CORP. CMN CLASS B	X		9/23/2020	X																
SP	ALASKA AIR GROUP INC/DEL HLDG CMN	X		5/20/2020	X																
SP	KIMBERLY-CLARK CORPORATION CMN	X		2/11/2020	X																
SP	ROCKWELL AUTOMATION INC CMN	X		1/8/2020	X																
SP	UNIVERSAL HEALTH SVC CL B CMN CLASS B	X		9/29/2020	X																
SP	UNIVERSITY OF ALA AT BEV 5.0000% 10/01/20 AO	X		4/8/2020	X																
SP	NORTHEOP GRUPOAN CORP CMN	X		3/5/2020	X																
SP	UNITEDHEALTH GROUP INC/CORPORATE CMN	X		4/7/2020	X																
SP	FIREBYE, INC. CMN	X		10/1/2020	X																
SP	LIVE NATION ENTERTAINMENT INC CMN	X		3/18/2020	X																
SP	WFX ENERGY, INC. CMN	X		10/7/2020	X																
SP	MOTOROLA SOLUTIONS INC CMN	X		11/3/2020	X																
SP	HANESBRANDS INC. CMN	X		4/30/2020	X																
SP	NETWIK, INC. CMN	X		1/8/2020	X																
SP	QUALCOMM INC CMN	X		4/14/2020	X																
SP	GMRE GROUP INC. CMN CLASS A	X		7/28/2020	X																
SP	DIAMONDBACK ENERGY INC CMN	X		3/5/2020	X																
SP	JOHNSON CONTROLS INTERNATIONAL CMN	X		3/24/2020	X																
SP	INTUITIVE SURGICAL, INC. CMN	X		5/20/2020	X																
SP	CATERPILLAR INC (DELAWARE) CMN	X		3/5/2020	X																
SP	ASSURED GUARANTY LTD. CMN	X		9/1/2020	X																
SP	ORACLE CORPORATION CMN	X		3/24/2020	X																
SP	PRIDELTY NATL. INFO SVCS INC CMN	X		4/14/2020	X																
SP	OETA, INC. CMN CLASS A	X		3/24/2020	X																
SP	NORWEGIAN CRUISE LINE HLDG LTD CMN	X		4/7/2020	X																
SP	FRANKEAR RESOURCES INC CMN	X		1/8/2020	X																

Over \$1,000,000  
Over \$50,000,000  
Over \$500,000  
Over \$1,000,000  
Over \$500,000  
Over \$100,000  
Over \$50,000  
Over \$10,000  
Over \$1,000  
Over \$100  
Over \$10  
Over \$1

**SCHEDULE B - TRANSACTIONS**  
 Ritu Ahuja 1894 Trust

Name: **Rohit Khanna**

Page 136 of 326

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Exclude transactions that resulted in a capital loss. Provide a brief description of the exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$250, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction			Date (MM/YY) or Quarter, Month, or 3-Month, if applicable	Amount of Transaction														
		A	B	C		D	E	F	G	H	I	J	K							
SP	CMAREX ENERGY CO. CAN	X																		
SP	CONSOLIDATED EDISON INC CAN	X																		
SP	ORACLE CORPORATION CAN	X																		
SP	MCCORMICK & CO NON VTD SERB CAN	X																		
SP	DELINK INCORPORATED CAN	X																		
SP	MICRON TECHNOLOGY, INC. CAN	X																		
SP	KLARRELY-CLARK CORPORATION CAN	X																		
SP	MICRON TECHNOLOGY, INC. CAN	X																		
SP	KEYWORKS SOLUTIONS, INC. CAN	X																		
SP	CITICORP INC. CAN	X																		
SP	MORGAN STANLEY CAN	X																		
SP	SALSBORGH COM. INC CAN	X																		
SP	URBAN OUTITTERS INC CAN	X																		
SP	IOVIA HOLDINGS INC CAN	X																		
SP	SYSCO CORPORATION CAN	X																		
SP	AMERICAN INTL. GROUP, INC. CAN	X																		
SP	EDISON INTERNATIONAL CAN	X																		
SP	CHARLES SCHWAB CORPORATION CAN	X																		
SP	DEVON ENERGY CORPORATION (NEW) CAN	X																		
SP	AGILENT TECHNOLOGIES, INC. CAN	X																		
SP	AMCOR PLC CAN	X																		
SP	NOBLE ENERGY INC CAN	X																		
SP	CONSOLIDATED EDISON INC CAN	X																		
SP	DUPONT DE NEMOURS INC CAN	X																		
SP	IBERDROLA CORPORATION CAN	X																		
SP	WEY ENERGY, INC. CAN	X																		
SP	BAKTIR INTERNATIONAL INC CAN	X																		

Over \$1,000,000  
 (Recommended)











































# SCHEDULE B - TRANSACTIONS

Form 1041 1994 Trust

Name: **Robin Khanna**

Page 66 of 326

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital gain. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your principal residence, unless it generated net income. If any portion of an asset is sold, please check "partial sale" on the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	ASSET	Type of Transaction			Date	Amount of Transaction														
		Package	Gift	Partial Sale		A	B	C	D	E	F	G	H	I	J	K				
SP	ARROW ELECTRONICS INC. CMN	X			3/5/2020	X														
SP	RESOURCE INC. CMN	X			2/11/2020	X														
SP	DEVON ENERGY CORPORATION (NEW) CMN	X			9/29/2020	X														
SP	FLOWERVEY CORPORATION CMN	X			9/29/2020	X														
SP	ABBYE INC. CMN	X			1/8/2020	X														
SP	TIK COMPANIES INC (NEW) CMN	X			6/23/2020	X														
SP	NOBLE ENERGY INC CMN	X			7/28/2020	X														
SP	ALLEN TECHNOLOGY, INC CMN	X			6/23/2020	X														
SP	WEK INC. CMN	X			1/3/2020	X														
SP	VULCAN MATERIALS CO CMN	X			9/29/2020	X														
SP	ARCH CAPITAL GROUP LTD. CMN	X			1/8/2020	X														
SP	DENBAR CORPORATION CMN CLASS B	X			3/5/2020	X														
SP	HEWLETT PACKARD ENTERPRISE CO CMN	X			3/5/2020	X														
SP	AMCAP HOLDINGS NY ORD CMN	X			5/20/2020	X														
SP	TRINITY INDUSTRIES INC (DEL.) CMN	X			9/5/2020	X														
SP	ULTA BEAUTY INC CMN	X			1/8/2020	X														
SP	THE WILLIAMS COMPANIES, INC CMN	X			6/23/2020	X														
SP	STANLEY BLACK & DECKER, INC. CMN	X			5/20/2020	X														
SP	EAGLE MATERIALS INC. CMN	X			5/20/2020	X														
SP	CNA FINCL. CORP. CMN	X			5/20/2020	X														
SP	ENCOMPASS HEALTH CORPORATION CMN	X			9/29/2020	X														
SP	MICROSOFT TECHNOLOGY, INC. CMN	X			7/28/2020	X														
SP	UBI CORPORATION (HOLDING CO) CMN	X			2/11/2020	X														
SP	ARISTA NETWORKS, INC. CMN	X			1/3/2020	X														
SP	O-I GLASS, INC. CMN	X			3/5/2020	X														
SP	AMGEN INC. CMN	X			9/29/2020	X														
SP	GARTNER, INC. CMN	X			3/5/2020	X														

Over \$1,000,000  
Over \$1,000,000  
(Specify DC Asset)













**SCHEDULE B - TRANSACTIONS**  
 Ribu Ahuja 1984 Trust

Name: **Rohit Khanna**

Page 102 of 326

SP, DC, JT	ASSET	Type of Transaction	Date	Amount of Transaction														
				A	B	C	D	E	F	G	H	I	J	K				
SP	BIO-MARIN PHARMACEUTICAL, INC. OMAN	Purchase	11/3/2020	X														
SP	BIL LILLY & CO OMAN	Gift	2/11/2020	X														
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A		11/3/2020	X														
SP	RYDIER SYSTEM INC OMAN		3/5/2020	X														
SP	ING PHOTONICS CORPORATION OMAN		2/11/2020	X														
SP	CORTEVA, INC. OMAN		9/29/2020	X														
SP	TWITTER, INC. OMAN		3/5/2020	X														
SP	GAITEK INDUSTRIAL CORPORATION P OMAN		3/5/2020	X														
SP	CTRIX SYSTEMS INC OMAN		7/28/2020	X														
SP	WESTROCK COMPANY OMAN		9/29/2020	X														
SP	PERKINS CO PLC OMAN		9/29/2020	X														
SP	NEWMONT CORP OMAN		7/28/2020	X														
SP	RENOVER INSURANCE GROUP INC OMAN		1/8/2020	X														
SP	LIBERTY GLOBAL, PLC OMAN CLASS A		3/5/2020	X														
SP	W.L. BIRRELEY CORPORATION OMAN		1/8/2020	X														
SP	HERBALIFE NUTRITION LTD OMAN		3/5/2020	X														
SP	CENMARK HOLDINGS, INC. OMAN		3/5/2020	X														
SP	SERVICES PROPERTIES TRUST OMAN		3/5/2020	X														
SP	TEXAS INSTRUMENTS INC OMAN		2/11/2020	X														
SP	AMERENBERG FINANCIAL, INC. OMAN		5/20/2020	X														
SP	TRIPADVISOR, INC. OMAN		3/5/2020	X														
SP	OGX ENERGY CORP (BUILDING CO) OMAN		7/28/2020	X														
SP	PRUDERCA, INC. OMAN		1/8/2020	X														
SP	BANDERSON ELECTRIC CO. OMAN		3/5/2020	X														
SP	COHERENT INC. OMAN		3/5/2020	X														
SP	JETBLUE AIRWAYS CORPORATION OMAN		5/20/2020	X														
SP	HOWMET AEROSPACE INC. OMAN		6/23/2020	X														

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of the exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence unless it generated rental income. If only a portion of an asset is sold, please disclose "partial sale" on the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box. Unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.



















































**SCHEDULE B - TRANSACTIONS**

Rev. 1/94

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" on the type of transaction. Capital gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and divide the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (month, day, or year)	Amount of Transaction													
		Purchase	Sale	Partial Sale			A	B	C	D	E	F	G	H	I	J	K			
SP	WESTERN DIGITAL CORPORATION CMN		X			5/20/2020	X													
SP	CENTERPOINT ENERGY, INC CMN			X		5/20/2020	X													
SP	URBAN OUTLETTERS INC CMN		X			8/25/2020	X													
SP	RAYTIHON TECHNOLOGIES CORPORAT CMN		X			11/3/2020	X													
SP	APPLE INC CMN		X		X	5/13/2020	X													
SP	MARATHON PETROLEUM CORPORATION CMN			X		4/14/2020	X													
SP	APPLE INC CMN		X		X	1/10/2020	X													
SP	PURSENBERG CORP CMN			X	X	2/11/2020	X													
SP	PNC FINANCIAL SERVICES GROUP CMN			X		3/24/2020	X													
SP	LULULEMON ATHLETICA INC CMN			X	X	6/23/2020	X													
SP	PEPPERCO, INC CMN		X			3/24/2020	X													
SP	COSTCO WHOLESALE CORPORATION CMN		X			4/7/2020	X													
SP	EXPEIDIA GROUP INC CMN			X		1/8/2020	X													
SP	TRANS TECHNOLOGIES PUBLIC LMTD CMN			X		3/24/2020	X													
SP	CINCINNATI FINANCIAL GRP CMN			X		4/7/2020	X													
SP	ONTAS CORPORATION CMN			X		3/24/2020	X													
SP	NEW YORK TIMES CO A CMN CLASS A			X		4/7/2020	X													
SP	CARNIVAL CORPORATION CMN			X		2/11/2020	X													
SP	GENERAL DYNAMICS CORP CMN			X		9/1/2020	X													
SP	KROGER COMPANY CMN		X		X	3/3/2020	X													
SP	LENVUR CORPORATION CMN CLASS A			X	X	1/8/2020	X													
SP	THE SOUTHERN CO. CMN		X			9/1/2020	X													
SP	CENTURYLINK INC CMN			X		5/20/2020	X													
SP	DOMINION ENERGY INC CMN		X			5/20/2020	X													
SP	KROGER COMPANY CMN		X		X	3/13/2020	X													
SP	BAXTER INTERNATIONAL INC CMN			X		4/7/2020	X													
SP	CORNING INCORPORATED CMN		X			2/11/2020	X													

Name: **Robert Klamma**









**SCHEDULE B - TRANSACTIONS**  
RNU ANUjs 1894 Trust

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Exclude transactions that resulted in a capital loss. Provide a brief description of any purchase or sale of your personal residence, unless it generated net income. If only a portion of an asset is sold, please disclose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain in column A. \* Column K is for assets solely held by your spouse or dependent child.

SP, JC, JT	ASSET	Type of Transaction			Check Box: Capital Gain Exceeded \$200	Date	RUBINER or CASHIER, MORTGAGE, or OTHER, if applicable	Amount of Transaction															
		Purchase	Sale	Partial Sale				A	B	C	D	E	F	G	H	I	J	K					
SP	BALSERBOLD CORP COM CLASS A		X			12/18/2020																	
SP	DIER NETWORK CORPORATION COM CLASS A			X		1/23/2020																	
SP	ALCON TECHNOLOGY, INC COM			X		4/7/2020																	
SP	PUBLIC STORAGE COM		X			2/11/2020																	
SP	SYNERGY FINANCIAL COM			X		3/5/2020																	
SP	MYLAN NV COM			X		3/5/2020																	
SP	BERNARD SCHEIN INC COMMON STOCK			X		3/24/2020																	
SP	AGILBERT TECHNOLOGIES, INC COM			X		3/5/2020																	
SP	ULTA BEAUTY INC COM		X			9/29/2020																	
SP	SOUTHWEST AIRLINES CO COM			X		3/5/2020																	
SP	CHIPPY'S MEXICAN GRILL, INC COM			X		3/5/2020																	
SP	PPL CORPORATION COM			X		12/31/2020																	
SP	VIASAT, INC COM			X		12/18/2020																	
SP	B-TRADE FINANCIAL CORPORATION COM			X		2/11/2020																	
SP	BROWN FORMAN CORP C, B COM CLASS B			X		3/24/2020																	
SP	BALL CORPORATION COM			X		4/14/2020																	
SP	ZYNGA, INC COM CLASS A		X			4/7/2020																	
SP	COMCAST CORPORATION COM CLASS A VOTING		X			4/14/2020																	
SP	CENTENE CORPORATION COM			X		7/28/2020																	
SP	AT&T INC COM		X			11/3/2020																	
SP	RAYTEK INTERNATIONAL INC COM		X			1/8/2020																	
SP	OCCIDENTAL PETROLEUM CORP COM		X			5/20/2020																	
SP	85A COMMUNICATIONS CORPORATION COM			X		3/24/2020																	
SP	TRIS KAAT HERZ, CO COM			X		5/20/2020																	
SP	ANTHERM, INC COM		X			2/11/2020																	
SP	AUTOZONE, INC COM		X			4/14/2020																	
SP	WEBSTEROCK COMPANY COM		X																				

Name: Rohit Khanna

















# SCHEDULE B - TRANSACTIONS

Rishi Ahuja 1994 Trust

Name: **Rishi Khanna**

Page 197 of 326

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" in the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box. Unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction															
		Partial	SP	Partial Sale			A	B	C	D	E	F	G	H	I	J	K					
SP	INTECHN TECHNOLOGY, INC. CMN		X			9/1/2020	X															
SP	CONSOLIDATED EDISON INC CMN		X			12/31/2020	X															
SP	GRAPHIC PACKAGING HLDG CO CMN		X		X	1/14/2020	X															
SP	ENTERGY CORPORATION CMN			X		12/31/2020	X															
SP	DOMINION ENERGY INC CMN			X	X	12/31/2020	X															
SP	ANSTR, INC. CMN			X	X	4/7/2020	X															
SP	DISCOVERY INC CMN			X		3/5/2020	X															
SP	UNIVAR SOLUTIONS INC. CMN			X		4/7/2020	X															
SP	BOSTON PROPERTIES, INC. COMMON STOCK			X		5/20/2020	X															
SP	AVNET INC. CMN			X		4/14/2020	X															
SP	DXC TECHNOLOGY COMPANY CMN		X			2/1/2020	X															
SP	VISTRA CORP CMN		X			1/13/2020	X															
SP	WELLSFAR INC. CMN			X		7/28/2020	X															
SP	OMNICOM GROUP CMN			X		5/20/2020	X															
SP	NISSOURCE INC. CMN			X		12/31/2020	X															
SP	FIDELIX CORPORATION CMN			X		5/20/2020	X															
SP	CNA FINCL. CORP. CMN			X		4/7/2020	X															
SP	DKSH REALTY TRUST, INC. CMN			X		1/8/2020	X															
SP	THE KRAFT BRNZ CO CMN		X			3/5/2020	X															
SP	BPR PROPERTIES CMN			X		9/29/2020	X															
SP	ALBERMARLE CORP CMN		X			4/7/2020	X															
SP	CROWN CASTLE INTL. CORP CMN			X		12/18/2020	X															
SP	OCCIDENTAL PETROLIUM CORP CMN			X		9/1/2020	X															
SP	WORLDWIDE WRESTLING ENTERTAINMENT CMN CLASS A			X		1/8/2020	X															
SP	RAYMOND JAMES FINANCIAL, INC. CMN			X		3/5/2020	X															
SP	JEFFERIES FINANCIAL GROUP INC CMN			X		4/7/2020	X															
SP	MGMA RESORTS INTERNATIONAL CMN		X			2/1/2020	X															

SP, DC, JT

ASSET

Type of Transaction

Check Box if Capital Gain Exceeded \$200

Date

Amount of Transaction

SP, DC, JT

ASSET

Type of Transaction

Check Box if Capital Gain Exceeded \$200

Date

Amount of Transaction







**SCHEDULE B - TRANSACTIONS**  
 Ritu Ahuja 1984 Trust

Name: **Rohit Khanna**

SP, DC, JT	ASSET	Type of Transaction	Date	ADDITION or CANCELS, DEBIT, or REVERSE, if applicable	Amount of Transactions																
					A	B	C	D	E	F	G	H	I	J	K						
SP	MONOLITHIC POWER SYSTEMS, INC. CMAN	X	3/5/2020	X	1071																
SP	THE WILLIAMS COMPANIES, INC. CMAN	X	11/3/2020	X	1071																
SP	WESCO INTERNATIONAL INC. CMAN	X	4/7/2020	X	1071																
SP	WESTERN DIGITAL CORPORATION CMAN	X	11/3/2020	X	1071																
SP	LIBERTY GLOBAL, PLC. CMAN CLASS C	X	6/19/2020	X	1071																
SP	EXELER, INC. CMAN	X	1/8/2020	X	1071																
SP	ROYAL GOLD, INC. CMAN	X	12/18/2020	X	1071																
SP	GARMIN LTD. CMAN	X	5/20/2020	X	1071																
SP	JACOBS ENGINEERING GRP CMAN	X	4/7/2020	X	1071																
SP	ORACLE CORPORATION CMAN	X	3/9/2020	X	1071																
SP	BOEING COMPANY CMAN	X	1/8/2020	X	1071																
SP	DXC TECHNOLOGY COMPANY CMAN	X	9/29/2020	X	1071																
SP	BURNSIRANCE GROUP A&P INC CMAN	X	6/23/2020	X	1071																
SP	ROLLINS INC. CMAN	X	4/7/2020	X	1071																
SP	O-I GLASS, INC. CMAN	X	5/20/2020	X	1071																
SP	REYUSI EC SERVICES INC. CMAN	X	6/23/2020	X	1071																
SP	LINCOLN NATL. CORP INC. CMAN	X	9/1/2020	X	1071																
SP	VORNADO REALTY TRUST CMAN	X	7/28/2020	X	1071																
SP	BOUTHAIS HOLDINGS INC CMAN	X	4/7/2020	X	1071																
SP	KIMCO REALTY CORPORATION CMAN	X	4/7/2020	X	1071																
SP	FIRSTENERGY CORP. CMAN	X	7/28/2020	X	1071																
SP	J. M. SPACKER COMPANY (THE) CMAN	X	6/23/2020	X	1071																
SP	BEAVY INC. CMAN	X	4/14/2020	X	1071																
SP	TERADATA CORPORATION CMAN	X	9/29/2020	X	1071																
SP	WALT DISNEY COMPANY (THE) CMAN	X	4/14/2020	X	1071																
SP	TEXTRON INC. DEL. CMAN	X	1/8/2020	X	1071																
SP	EXPERDIA GROUP INC CMAN	X	5/20/2020	X	1071																

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" on the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a non-qualified account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

Check Box if Capital Gain Exceeded \$200























# SCHEDULE B - TRANSACTIONS

Rita Alving 1995 Trust

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of each transaction. Exclude transactions between you, your spouse, or dependent child for the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction. Capital gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and describe the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction													
		Partial	Sp	Partial Sale			A	B	C	D	E	F	G	H	I	J	K			
SP	VIA BROTC RESH PARTN ATN LSE REV 5.0000% 09/01/20 MS	X				7/14/2020			X											
SP	FLA ST BRD BD PUB BD DB 5.0000% 06/01/20 JD	X				2/18/2020				X										
SP	AUSTIN TEX INDEPT SCH DIST GO 5% 08/01/21 VA	X				9/14/2020			X											
SP	TRINITY RIVER AUTH TEX RECL REV 5% 08/01/21 VA	X				10/5/2020			X											
SP	TEX ST DB 5.0000% 10/01/20 AO	X				5/29/2020			X											
SP	SCOTTSDALE ARIZ GO 5% 07/01/21 JJ	X				10/26/2020			X											
SP	PHOENIX CIVIC IMPROV CORP REV 5.0000% 07/01/21 JJ	X				9/14/2020			X											
SP	PINELLAS COUNTY SCHOOL BOARD CORP 5.0000% 07/01/21 JJ	X				10/8/2020			X											
SP	NEVADA SVS HIGHER ED UNIV REV 5% 07/01/21 JJ	X				8/14/2020			X											
SP	GROSSER EB TWP MICH GO 5% 04/01/21 AO	X				7/31/2020			X											
SP	VALLEY CNTY DAHO SCH DIST NO GO 3% 09/15/21 MS	X				10/21/2020			X											
SP	POK VY TRCBNGAL COLLEGE DIST GO 3% 12/01/20 JD	X				3/9/2020			X											
SP	FLOWER MOUND TEX DB 4% 03/01/21 MS	X				3/17/2020			X											
SP	SOUTH DAKOTA ST BLDG AUTH REV 5% 06/01/21 JD	X				10/9/2020			X											
SP	CHICAGO IL O HARB INTL APT REV 5.0000% 01/01/21 JJ	X				4/9/2020			X											
SP	PORTLAND ORE SVR SVR REV 5% 06/01/20 JD	X				3/2/2020			X											
SP	METRO WASHWTR BRCLAMATON REV 5% 04/01/21 AO	X				9/23/2020			X											
SP	MCALMADIE CNTY FLA SCH BRD CORP 5% 05/01/21 CA NN	X				10/15/2020			X											
SP	WASHINGTON ST GO 5% 07/01/21 JJ	X				10/29/2020			X											
SP	IBEL CNTY TEX DB 5% 08/15/21 VA	X				9/14/2020			X											
SP	OKLAHOMA ST WTR RES BRD ST LN REV 4% 10/01/21 CA AO	X				12/29/2020			X											
SP	PENNSYLVANIA ST GO 5% 02/01/21 VA	X				4/7/2020			X											
SP	ARKANSAS ST GO 5% 06/15/21 JD	X				12/15/2020			X											
SP	FLORIDA ST TRK AUTH TRK REV REV 5% 07/01/21 JJ	X				8/12/2020			X											
SP	TAMPA BAY WTR FLA A RECL WTR REV 5% 10/01/21 AO	X				12/2/2020			X											
SP	ORLANDO FLA UTILE COMAN UTLE REV 5% 10/01/20 AO	X				3/6/2020			X											
SP	WILSON CNTY TENN GO 4% 04/01/21 AO	X				7/24/2020			X											

Name: **Robt Krishna** Page 212 of 328

Over \$50,000.00  
Over \$1,000,000\*  
(Report DC Asset)













# SCHEDULE B - TRANSACTIONS

Rita Ahuja 1995 Trust

Name:

Rohit Khanna

Page 18 of 326

SP, DC, JT	ASSET	Type of Transaction			Date	Amount of Transaction															
		A	B	C		D	E	F	G	H	I	J	K								
SP	AMERICAN TOWER CORPORATION GAIN	X																			
SP	CTT GROUP INC. GAIN	X																			
SP	ATLANTICA SUSTAINABLE INFRASTR. GAIN	X																			
SP	TYSON FOODS INC. CL. A GAIN CLASS A	X																			
SP	WBC ENERGY GROUP, INC. GAIN	X																			
SP	S&B GLOBAL INC. GAIN	X																			
SP	VERIZON COMMUNICATIONS, INC. GAIN	X																			
SP	BRISTOL MYERS SQUIBB COMPANY GAIN	X																			
SP	TRUIST FINANCIAL CORPORATION GAIN	X																			
SP	WELLS FARGO & CO (NYSE) GAIN	X																			
SP	HONEYWELL INTL. INC. GAIN	X																			
SP	REALTY INCOME CORPORATION GAIN	X																			
SP	TC ENERGY CORP GAIN	X																			
SP	MORGAN STANLEY GAIN	X																			
SP	TC PIPELINES, LP GAIN	X																			
SP	DUKE ENERGY CORPORATION GAIN	X																			
SP	LOCKHEED MARTIN CORPORATION GAIN	X																			
SP	CISCO SYSTEMS, INC. GAIN	X																			
SP	OCCIDENTAL PETROLEUM CORP GAIN	X																			
SP	THE HOME DEPOT, INC. GAIN	X																			
SP	BANK OF AMERICA CORP GAIN	X																			
SP	PAYCOM, INC. GAIN	X																			
SP	TIx COMPANIES INC (NYSE) GAIN	X																			
SP	WILSON PERKINS & CO GAIN	X																			
SP	ROPER TECHNOLOGIES INC GAIN	X																			
SP	PARKER-HANNIFIN CORP. GAIN	X																			
SP	TEXAS INSTRUMENTS INC. GAIN	X																			

Report any purchases, sales, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was on a sale to a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

Check Box if Capital Gain Exceeded \$200

ACCOUNTING or GAIN, LOSS, or OTHERWISE IF APPLICABLE

A \$10,000  
B \$10,000  
C \$10,000  
D \$10,000  
E \$10,000  
F \$10,000  
G \$10,000  
H \$10,000  
I \$10,000  
J Over \$20,000  
K Over \$100,000  
(\$100,000 or more)









**SCHEDULE B - TRANSACTIONS**

Ritu Ahuja 1995 Trust

Name: **Rohit Khanna**

Page 222 of 326

SP, DC, JT	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction															
		Purchase	Sell	Partial Sell			Gains/losses or Capital, Divid, or Interest if applicable															
							A	B	C	D	E	F	G	H	I	J	K					
SP	3M COMPANY CMN	X				3/24/2020	X															
SP	LIBERTY BROADBAND CORPORATION CMN CLASS C	X				4/7/2020	X															
SP	INTUIT INC CMN	X				4/14/2020	X															
SP	AMERICAN WATER WORKS CO, INC. CMN	X				3/24/2020	X															
SP	AMAZON.COM INC CMN	X				1/8/2020	X															
SP	WELLTOWER INC. CMN	X				3/5/2020	X															
SP	JOHNSON & JOHNSON CMN	X				4/14/2020	X															
SP	MICRON TECHNOLOGY, INC. CMN	X				6/25/2020	X															
SP	MARRIOTT INTERNATIONAL, INC CMN CLASS A	X				1/8/2020	X															
SP	KEYCORP CMN	X				3/5/2020	X															
SP	DUPONT DE NEMOURS INC CMN	X				1/8/2020	X															
SP	GENERAL ELECTRIC CO CMN	X				11/9/2020	X															
SP	FREDEX CORPORATION CMN	X				4/14/2020	X															
SP	AMERICAN EXPRESS CO. CMN	X				2/11/2020	X															
SP	BOHNING COMPANY CMN	X				5/29/2020	X															
SP	PREHEALTH MATL. INFO SVCS INC CMN	X				11/9/2020	X															
SP	PROLOGIS INC CMN	X				2/11/2020	X															
SP	BLACKROCK, INC. CMN	X				4/14/2020	X															
SP	PPG INDUSTRIES, INC. CMN	X				2/11/2020	X															
SP	KINDER MORGAN INC CMN CLASS B	X				4/7/2020	X															
SP	CONROCHELLIPS CMN	X				2/11/2020	X															
SP	UNITED TECHNOLOGIES CORPORATION CMN	X				2/11/2020	X															
SP	INTUIT INC CMN	X				4/7/2020	X															
SP	QUALCOMM INC CMN	X				7/29/2020	X															
SP	MOTOROLA SOLUTIONS INC CMN	X				2/11/2020	X															
SP	COASTCO WHOLESALE CORPORATION CMN	X				9/29/2020	X															
SP	BAKTER INTERNATIONAL INC CMN	X				11/9/2020	X															

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and show the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.







# SCHEDULE B - TRANSACTIONS

Rinu Ahuja 1995 Trust

Name: **Rohit Khanna**

Page 226 of 326

SP, DC, JT	ASSET	Type of Transaction	Date	Amount of Transaction															
				A	B	C	D	E	F	G	H	I	J	K					
			ACQUISITION or GIFT, HEIRSHIP, or DEEDS, if applicable																
SP	BAKTER INTERNATIONAL INC CMN	Gift	2/11/2020																
SP	PRIZER INC CMN	Gift	3/5/2020																
SP	GENERAL ELECTRIC CO CMN	Gift	6/23/2020																
SP	WASTE MANAGEMENT INC CMN	Gift	3/5/2020																
SP	HEXCEL CORPORATION (NEW) CMN	Gift	7/28/2020																
SP	TRANS TECHNOLOGIES PUBLIC LIM CMN	Gift	7/28/2020																
SP	DISCOVER FINANCIAL SERVICES CMN	Gift	3/5/2020																
SP	SYSCO CORPORATION CMN	Gift	6/23/2020																
SP	BOEING COMPANY CMN	Gift	9/1/2020																
SP	RAYMOND JAMES FINANCIAL, INC. CMN	Gift	2/11/2020																
SP	WILLIS TOWERS WATSON PLC CMN	Gift	2/11/2020																
SP	SEMERA ENERGY CMN	Gift	4/7/2020																
SP	TWITTER, INC. CMN	Gift	2/11/2020																
SP	WALT DISNEY COMPANY (THR) CMN	Gift	7/28/2020																
SP	GENERAL ELECTRIC CO CMN	Gift	2/11/2020																
SP	MERCON TECHNOLOGY, INC. CMN	Gift	1/8/2020																
SP	VENTAS, INC. CMN	Gift	2/11/2020																
SP	TARGA REBOUNDS CORP. CMN	Gift	8/20/2020																
SP	PROCTER & GAMBLE COMPANY (THR) CMN	Gift	6/23/2020																
SP	CSBS GROUP, INC. CMN CLASS A	Gift	4/14/2020																
SP	PAYCOM, INC. CMN	Gift	2/11/2020																
SP	REPUBLIC SERVICES INC CMN	Gift	5/20/2020																
SP	BALESBROCK COM, INC CMN	Gift	1/8/2020																
SP	GITGROUP INC. CMN	Gift	11/3/2020																
SP	ONBOK INC CMN	Gift	2/11/2020																
SP	PASTENAL COMPANY CMN	Gift	3/4/2020																
SP	ENTERGY CORPORATION CMN	Gift	4/7/2020																

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Exclude transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction. Capital Gain: If a sales transaction resulted in a capital gain in excess of \$500, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.













# SCHEDULE B - TRANSACTIONS

Ritu Ahuja 1995 Trust

Name: Rishi Khanna

Page 232 of 326

SP, DC, JT	ASSET	Type of Transaction	Date	Amount of Transaction														
				A	B	C	D	E	F	G	H	I	J	K				
SP	ROD MOTOR COMPANY GMN		5/20/2020	X														
SP	SYNOPSYS INC. GMN		2/11/2020	X														
SP	TE CONNECTIVITY LTD GMN		3/5/2020	X														
SP	GMG GROUP INC. GMN CLASS A		9/1/2020	X														
SP	VENTAS, INC. GMN		4/14/2020	X														
SP	PIRELLA, INC. GMN		2/11/2020	X														
SP	NOBLE ENERGY INC GMN		3/5/2020	X														
SP	YUM BRANDS, INC. GMN		1/8/2020	X														
SP	ROYAL CARIBBEAN CRUISES LTD REIN: LP0008662868		4/7/2020	X														
SP	ALLEN TECHNOLOGY, INC GMN		3/24/2020	X														
SP	TEXAS INSTRUMENTS INC. GMN		9/29/2020	X														
SP	NORFOLK SOUTHERN CORP GMN		2/11/2020	X														
SP	MET BANK CORPORATION GMN		3/24/2020	X														
SP	BIOGEN INC. GMN		7/28/2020	X														
SP	JPMORGAN CHASE & CO GMN		6/23/2020	X														
SP	ABBVIE INC GMN		6/23/2020	X														
SP	PROLOGIS INC GMN		1/13/2020	X														
SP	GMG GROUP INC. GMN CLASS A		1/8/2020	X														
SP	MGIC INVESTMENT CORP COMMON STOCK		4/7/2020	X														
SP	GENERAL DYNAMICS CORP. GMN		5/20/2020	X														
SP	AMERICAN TOWER CORPORATION GMN		1/13/2020	X														
SP	BIRCTON, DICKINSON AND COMPANY GMN		7/28/2020	X														
SP	WEBSTER COMPANY GMN		3/5/2020	X														
SP	CRIBB LIMITED GMN		2/11/2020	X														
SP	BOSTON PROPERTIES, INC. COMMON STOCK		3/5/2020	X														
SP	ARCI CAPITAL GROUP LTD. GMN		6/23/2020	X														
SP	HARTFORD FINANCIAL SERVICES GROUP GMN		1/8/2020	X														

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, of the purchase or sale of your personal residence, unless a generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box. Unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.









































# SCHEDULE B - TRANSACTIONS

Rishi Ahuja 1995 Trust

Name:

Rohit Khanna

Page 251 of 326

SP, DC, JT	ASSET	Type of Transaction		Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) or Calendar, Monthly, or Bi-monthly, if applicable	Amount of Transaction																	
		SP	DC			A	B	C	D	E	F	G	H	I	J	K							
SP	AUTODIESEL, INC. GAIN	X			9/29/2020	X																	
SP	ADVANCE AUTO PARTS, INC. GAIN	X			1/8/2020	X																	
SP	CEUBA LIMITED GAIN	X			1/8/2020	X																	
SP	GENERAL DYNAMICS CORP. GAIN	X			6/23/2020	X																	
SP	EXPEDITORS INTERNATIONAL OF WA GAIN	X			1/8/2020	X																	
SP	PLAINS ALL AMERICAN PIPELINE L.P. COMMON UNITS	X			6/30/2020	X																	
SP	PONTIAC, INC. GAIN	X			1/8/2020	X																	
SP	LIBERTY GLOBAL, PLC GAIN CLASS A	X			3/5/2020	X																	
SP	XEROX HOLDINGS CORP. GAIN	X			3/5/2020	X																	
SP	REGENCY CENTERS CORPORATION GAIN	X			5/20/2020	X																	
SP	DUNTON BRANDS GROUP INC GAIN	X			1/8/2020	X																	
SP	STRIX SYSTEMS INC GAIN	X			1/8/2020	X																	
SP	W.R. GRACE & CO (NEW) GAIN	X			9/29/2020	X																	
SP	WATERS CORPORATION COMMON STOCK	X			7/28/2020	X																	
SP	BSEBX PROPERTY TRUST INC GAIN	X			11/3/2020	X																	
SP	WORKDAY, INC. GAIN CLASS A	X			9/29/2020	X																	
SP	AMERBORG/BERBERGEN CORPORATION GAIN	X			3/5/2020	X																	
SP	LDON GATE ENTERTAINMENT CORP. GAIN CLASS A	X			3/9/2020	X																	
SP	CYS HEALTH CORP GAIN	X			2/11/2020	X																	
SP	MORABC COMPANY (THE) GAIN	X			6/23/2020	X																	
SP	AXYS CAPITAL HOLDINGS LIMITED GAIN	X			5/29/2020	X																	
SP	NEWE CORPORATION GAIN CLASS A	X			1/8/2020	X																	
SP	PACWEST BANCOFP GAIN	X			9/29/2020	X																	
SP	CORTIVA, INC. GAIN	X			2/11/2020	X																	
SP	STERLING BANCOFP GAIN	X			3/5/2020	X																	
SP	ACTIVISION BLIZZARD, INC GAIN	X			3/5/2020	X																	
SP	MID-AMERICA APT QANTYS INC GAIN	X			3/5/2020	X																	

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain it exceeds \$200, check the "capital gain" box, unless it was an asset in a non-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.































# SCHEDULE B - TRANSACTIONS

Ritu Ahuja, 1995 Trust

Name: **Rohit Khanna**

Page **285** of **328**

SP, DC, JT	ASSET	Type of Transaction		Date	6000/1000 or Other, Month, or Bi-weekly if applicable	Amount of Transaction															
		SP	DC			A	B	C	D	E	F	G	H	I	J	K					
SP	PUT/KSP @ 245 EXPR 07/17/2020	X		4/16/2020																	
SP	PUT/KSP @ 315 EXPR 02/28/2020		X	1/9/2020																	
SP	PUT/KSP @ 257 EXPR 07/31/2020		X	5/11/2020																	
SP	PUT/KSP @ 265 EXPR 06/31/2020		X	5/27/2020																	
SP	PUT/KSP @ 280 EXPR 07/31/2020		X	6/22/2020																	
SP	PUT/KSP @ 255 EXPR 05/29/2020		X	4/20/2020																	
SP	PUT/KSP @ 288 EXPR 08/14/2020		X	7/6/2020																	
SP	PUT/KSP @ 257 EXPR 07/31/2020		X	5/21/2020																	
SP	PUT/KSP @ 190 EXPR 06/19/2020		X	3/25/2020																	
SP	PUT/KSP @ 262 EXPR 08/21/2020		X	6/19/2020																	
SP	ENTERPRISE PRODUCTS PART L.P. CMAN		X	10/13/2020																	
SP	MPLX LP CMAN		X	10/13/2020																	
SP	MAGELLAN MIDSTREAM PARTNERS, L.P. CMAN		X	10/13/2020																	
SP	ENERGY TRANSFER LP CMAN		X	3/4/2020																	
SP	ENTERPRISE PRODUCTS PART L.P. CMAN		X	3/4/2020																	
SP	ENERGY TRANSFER LP CMAN		X	10/13/2020																	
SP	PLAINS ALL AMERICAN PIPELINES L.P. COMMON UNITS		X	10/13/2020																	
SP	PROLOGAN CENAB & CO CMAN		X	2/11/2020																	
SP	MAGELLAN MIDSTREAM PARTNERS, L.P. CMAN		X	3/4/2020																	
SP	TARGA RESOURCES CORP CMAN		X	10/13/2020																	
SP	MERCK & CO., INC. CMAN		X	5/28/2020																	
SP	MPLX LP CMAN		X	3/4/2020																	
SP	THE WILLIAMS COMPANIES, INC. CMAN		X	10/13/2020																	
SP	THE HUBER DEPOT, INC. CMAN		X	2/11/2020																	
SP	PHILLIPS 66 PARTNERS LP CMAN		X	3/4/2020																	
SP	CITICORP INC. CMAN		X	2/11/2020																	
SP	QNBK INC CMAN		X	10/13/2020																	

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction. Capital Gain: If a sales transaction resulted in a capital gain in excess of \$500, check the capital gain box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.































# SCHEDULE B - TRANSACTIONS

Rishi Abhis 1995 Trust

Name: Rohit Khanna

Page 278 of 328

SP, DC, JT	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (Month/Year, or Quarterly, Monthly, or Biweekly, if applicable)	Amount of Transaction													
		Private	SP	Period Gain			A	B	C	D	E	F	G	H	I	J	K			
SP	BAKTER INTERNATIONAL INC GAIN		X			4/14/2020	X													
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A			X		5/20/2020	X													
SP	REGIONS FINANCIAL CORPORATION GAIN			X		3/5/2020	X													
SP	PACIFIC COAST CORP OF AMERICA COMMON STOCK			X	X	1/8/2020	X													
SP	RAILSPORCB.COM, INC GAIN			X	X	4/14/2020	X													
SP	INTERNATIONAL PAPER CO. GAIN			X		5/20/2020	X													
SP	VAN/ARB, INC. GAIN CLASS A		X			1/3/2020	X													
SP	THE WILLIAMS COMPANIES, INC. GAIN		X			3/9/2020	X													
SP	PUBLIC STORAGE GAIN			X		3/24/2020	X													
SP	STATE STREET CORPORATION (NEW) GAIN		X		X	3/24/2020	X													
SP	VOYA FINANCIAL INC GAIN			X		2/11/2020	X													
SP	INSOURCE INC. GAIN		X			3/24/2020	X													
SP	REPUBLIC SERVICES INC GAIN		X			6/23/2020	X													
SP	ROSS STORES, INC. GAIN		X			3/24/2020	X													
SP	PAPERCO, INC. GAIN		X		X	4/14/2020	X													
SP	WILLIS TOWERS WATSON PLC GAIN			X		3/9/2020	X													
SP	PUBLIC-SVC INTERPLUMB GROUP HOLDING CO			X		1/8/2020	X													
SP	HEXCEL CORPORATION (NEW) GAIN			X		9/1/2020	X													
SP	LINCOLN NAVI, CORP INC. GAIN			X		1/8/2020	X													
SP	MACROCHIP TECHNOLOGY INCORPORATION GAIN		X			3/24/2020	X													
SP	DELTA AIR LINES, INC. GAIN		X			2/11/2020	X													
SP	DOLLAR GENERAL CORPORATION GAIN		X			4/7/2020	X													
SP	TS CONNECTIVITY LTD GAIN			X	X	2/11/2020	X													
SP	PIONEER NATURAL RESOURCES CO GAIN			X		3/24/2020	X													
SP	OMNICOM GROUP GAIN		X			1/13/2020	X													
SP	CARNIVAL CORPORATION GAIN			X		2/11/2020	X													
SP	XEROX HOLDINGS CORP GAIN		X			6/23/2020	X													

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of each exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated netted income. If only a portion of an asset is sold, please check "partial sale" on the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was entered in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

# SCHEDULE B - TRANSACTIONS

Rita Ahuja 1995 Trust

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of the exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated net income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Quantity or Cost, Month, or SS-1099, if applicable	Amount of Transaction																	
		Purchase	Sale	Partial Sale				A	B	C	D	E	F	G	H	I	J	K							
SP	BRALPAGE, INC GAIN			X		11/3/2020																			
SP	AUTOMATIC DATA PROCESSING INC GAIN					3/24/2020																			
SP	NIXIE CLASS B GAIN CLASS B					4/14/2020																			
SP	BICA HEALTHCARE, INC GAIN					3/24/2020																			
SP	HESB CORPORATION GAIN					2/11/2020																			
SP	F3 NETWORKS, INC. GAIN					3/5/2020																			
SP	CUMMINS INC COMMON STOCK					2/11/2020																			
SP	SEAGATE TECHNOLOGY PLC GAIN					1/8/2020																			
SP	ENERGY TRANSFER LP GAIN					3/26/2020																			
SP	ZIMMER BIOMET HOLDINGS INC		X			6/23/2020																			
SP	KEYCORP GAIN					3/24/2020																			
SP	CHURCH & DWIGHT CO., INC. GAIN					4/14/2020																			
SP	LEIDOS BIDS INC GAIN		X			7/28/2020																			
SP	FORSTYS CORPORATION GAIN					4/7/2020																			
SP	WELLTOWER INC. GAIN					3/24/2020																			
SP	FBAY INC. GAIN					2/11/2020																			
SP	IDEXX LABORATORIES GAIN					2/11/2020																			
SP	LEARBS TECHNOLOGIES INC GAIN					12/31/2020																			
SP	PASTENAL COMPANY GAIN					2/11/2020																			
SP	VERIZON COMMUNICATIONS, INC. GAIN		X			4/14/2020																			
SP	PUBLIC-SVC ENTERPRISES GROUP HOLDING CO		X			9/1/2020																			
SP	PAYCHEX, INC. GAIN					3/5/2020																			
SP	ILLINOIS TOOL WORKS GAIN					3/5/2020																			
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A					1/8/2020																			
SP	TAKES TWO INTERACTIVE SOFTWARE INC		X			4/14/2020																			
SP	VERIZON COMMUNICATIONS, INC. GAIN		X			5/20/2020																			
SP	GENERAL MOTORS COMPANY GAIN		X			2/11/2020																			

Name: Rohit Khanna

Page 290 of 325















# SCHEDULE B - TRANSACTIONS

Ritu Ahuja 1995 Trust

Name:

Rohit Khanna

Page 287 of 326

SP, DC, JT	ASSET	Type of Transaction	Date	900000109 or Cash, Worth, or Security, if applicable	Amount of Transaction													
					A	B	C	D	E	F	G	H	I	J	K			
SP	PHILLIPS 66 CMAN	X	12/31/2020															
SP	ONBOK INC CMAN	X	4/7/2020															
SP	PHILLIPS 66 PARTNERS LP CMAN	X	6/4/2020															
SP	MARATHON PETROLEUM CORPORATION CMAN	X	7/28/2020															
SP	WELLS FARGO & CO (NEW) CMAN	X	4/14/2020															
SP	GENTRENE CORPORATION CMAN	X	5/20/2020															
SP	PEP CORPORATION CMAN	X	5/20/2020															
SP	OCCIDENTAL PETROLEUM CORP CMAN	X	9/1/2020															
SP	CISCO SYSTEMS, INC. CMAN	X	9/1/2020															
SP	BIOMGEN INC. CMAN	X	12/18/2020															
SP	NORTHERN TRUST CORP CMAN	X	1/8/2020															
SP	INCYTE CORPORATION CMAN	X	3/24/2020															
SP	DOMINION ENERGY INC CMAN	X	9/1/2020															
SP	MAXIM INTEGRATED PRODUCTS INC CMAN	X	3/24/2020															
SP	WESTERN MIDSTREAM PARTNERS LP CMAN	X	2/21/2020															
SP	DISCOVER FINANCIAL SERVICES CMAN	X	4/7/2020															
SP	PUBLIC SVC ENTERPRISES GROUP HOLDING CO	X	3/5/2020															
SP	CHECK POINT SOFTWARE TRICE LTD ORDINARY SHARES	X	9/29/2020															
SP	DENTSPLY SIRONA INC CMAN	X	2/11/2020															
SP	PORTUNE BRANDS HOME & SECURITY CMAN	X	2/11/2020															
SP	HECTON, DICKINSON AND COMPANY CMAN	X	3/5/2020															
SP	HECTON, DICKINSON AND COMPANY CMAN	X	9/1/2020															
SP	SBA COMMUNICATIONS CORPORATION CMAN	X	5/20/2020															
SP	GREYWOOD EQUITY PARTNERS LP CMAN	X	4/7/2020															
SP	ORIX GROUP, INC. CMAN CLASS A	X	2/11/2020															
SP	CONTELLATION BRANDS INC CMAN CLASS A	X	3/24/2020															
SP	TARGET CORPORATION CMAN	X	4/14/2020															

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of the securities transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. For a portion of an asset, as set, please choose "partial sale" as the type of transaction. Capital gains: If a sales transaction resulted in a capital gain in excess of \$500, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \*Column K is for assets solely held by your spouse or dependent child.









**SCHEDULE B - TRANSACTIONS**

Ritu Abhija 1995 Trust

Name: **Rohit Khanna**

SP, DC, JT	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	ACQUIRED or Quantity, Liberty, or Beneficial Interest	Amount of Transaction														
		Purchase	Gift	Partial Sale				A	B	C	D	E	F	G	H	I	J	K				
SP	PROOFPPOINT INC GAIN			X		11/3/2020	X															
SP	MAXIM INTEGRATED PRODUCTS INC GAIN			X		9/29/2020	X															
SP	CELANESE CORPORATION COMMON STOCK			X		3/5/2020	X															
SP	ALCOA CORPORATION GAIN			X	X	12/31/2020	X															
SP	BROWN FORMAN CORP CL B GAIN CLASS B			X	X	9/1/2020	X															
SP	HERCO CORP CL A GAIN CLASS A			X	X	12/31/2020	X															
SP	MARLBOTT INTERNATIONAL, INC GAIN CLASS A		X			9/29/2020	X															
SP	NOBLE ENERGY INC GAIN		X			3/24/2020	X															
SP	SIMON PROPERTY GROUP INC GAIN			X		4/7/2020	X															
SP	WALGREENS BOOTS ALLIANCE, INC GAIN			X		5/20/2020	X															
SP	VF CORP GAIN		X			7/28/2020	X															
SP	MOLSON COORS BEVERAGE CO GAIN CLASS B			X		3/5/2020	X															
SP	GRALED AIR CORPORATION GAIN			X	X	2/11/2020	X															
SP	ALLEN TECHNOLOGY, INC GAIN		X		X	9/1/2020	X															
SP	AMCOR PLC GAIN		X			5/20/2020	X															
SP	W/W GRANINGER INC GAIN		X			2/11/2020	X															
SP	MOTOROLA SOLUTIONS INC GAIN			X		4/14/2020	X															
SP	AFT INVT & MGMT/SH GAIN			X		4/14/2020	X															
SP	HEARSB, INC GAIN		X			4/7/2020	X															
SP	INTUIT INC GAIN			X	X	2/11/2020	X															
SP	DISCOVERY INC - A GAIN SERIES A			X	X	3/5/2020	X															
SP	URS HOORS HOLDING CORP. GAIN		X			11/3/2020	X															
SP	WESTINGHOUSE AIR BRAKES TECHNOL GAIN			X		3/5/2020	X															
SP	NEWMONT CORP GAIN		X		X	9/29/2020	X															
SP	CAIS ENERGY CORPORATION GAIN			X	X	2/11/2020	X															
SP	ANTHELM, INC GAIN		X			11/3/2020	X															
SP	CABOT OIL & GAS CORPORATION GAIN			X		12/31/2020	X															

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of the transaction. Exclude transactions between you, your spouse, or dependent child, or an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.















# SCHEDULE B - TRANSACTIONS

Ritu Ahuja 1995 Trust

Name:

Rohit Khanna

Page 288 of 326

SP, JT, JT	ASSET	Type of Transaction	Date	Amount of Transaction																
				A	B	C	D	E	F	G	H	I	J	K						
SP	HANSEN BRANDS INC. C/MAN		4/14/2020	X																
SP	EVER VICTORMASTER GLOBAL HOLDINGS, C/MAN		2/11/2020	X																
SP	GAAP INC C/MAN		3/5/2020	X																
SP	CHEVRIER ENERGY, INC. C/MAN	X	2/19/2020																	
SP	PERNSURANCE GROUP AMER INC C/MAN	X	3/24/2020																	
SP	RYDER SYSTEM INC C/MAN	X	4/7/2020																	
SP	LABL INC C/MAN	X	5/20/2020																	
SP	LIIDOS BLDGS INC C/MAN	X	5/20/2020																	
SP	AIR LEASE CORPORATION C/MAN	X	4/7/2020																	
SP	ARECAP HOLDINGS NV ORD C/MAN	X	1/8/2020																	
SP	NOBLE ENERGY INC C/MAN	X	9/29/2020																	
SP	AMERICAN EXPRESS CO. C/MAN	X	2/11/2020																	
SP	MACTYS INC. C/MAN	X	3/24/2020																	
SP	APACHE CORP. C/MAN	X	4/14/2020																	
SP	IRON MOUNTAIN INCORPORATED C/MAN	X	11/3/2020																	
SP	LINTECH TECHNOLOGIES, INC. C/MAN	X	4/7/2020																	
SP	LOWE'S CORPORATION C/MAN	X	4/7/2020																	
SP	NCA CORPORATION C/MAN	X	4/7/2020																	
SP	CNA FINCL CORP. C/MAN	X	2/10/2020																	
SP	TRR WILLIAMS COMPANIES, INC. C/MAN	X	4/14/2020																	
SP	NOBOLK SOUTHERN CORP C/MAN	X	11/3/2020																	
SP	COCA-COLA EUROPEAN PARTNERS PL C/MAN	X	7/28/2020																	
SP	FOX CORPORATION C/MAN CLASS B	X	9/29/2020																	
SP	CARNIVAL CORPORATION C/MAN	X	4/7/2020																	
SP	NIELSEN HDGS PLC C/MAN	X	7/28/2020																	
SP	REGENCY CENTERS CORPORATION C/MAN	X	2/11/2020																	
SP	ALLY FINANCIAL INC. C/MAN	X																		

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" on the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SCHEDULE B - TRANSACTIONS

Ritu Ahuja 1995 Trust

Name:

Rohit Khanna

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital losses: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

Table with columns: SP, DC, JT, ASSET, Type of Transaction, Date, Amount of Transaction (A-K), and Check Box if Capital Gain Exceeded \$200.



# SCHEDULE B - TRANSACTIONS

Rim Ahuja 1995 Trust

Name: **Robin Khanna**

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Exclude transactions that resulted in a capital loss. Provide a brief description of all exchange transactions. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction. Capital Gain: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and discuss the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.

SP, JO, JT	ASSET	Type of Transaction	Date	Check Box if Capital Gain Exceeded \$200	Amount of Transaction													
					A	B	C	D	E	F	G	H	I	J	K			
SP	WYNDHAM DESTINATIONS, INC. GAIN		1/8/2020	<input checked="" type="checkbox"/>	-													
SP	OCCIDENTAL PETROLEUM CORP GAIN		1/8/2020	<input checked="" type="checkbox"/>	-													
SP	WESTERN MIDSTREAM PARTNERS LP GAIN	X	4/22/2020	<input checked="" type="checkbox"/>	-													
SP	TIBB WILLIAMS COMPANIES, INC. GAIN	X	6/30/2020	<input checked="" type="checkbox"/>	-													
SP	MOBARC COMPANY (THE) GAIN	X	2/11/2020	<input checked="" type="checkbox"/>	-													
SP	CHARLES RIV LABS INTL. INC GAIN		4/7/2020	<input checked="" type="checkbox"/>	-													
SP	SCH LUMBERGER LTD GAIN		7/28/2020	<input checked="" type="checkbox"/>	-													
SP	ABEGAP HOLDINGS NY ORD GAIN		9/29/2020	<input checked="" type="checkbox"/>	-													
SP	ANTERO MIDSTREAM CORP GAIN		3/25/2020	<input checked="" type="checkbox"/>	-													
SP	HERCO CORPORATION (NEW) GAIN		12/31/2020	<input checked="" type="checkbox"/>	-													
SP	UGI CORPORATION (HOLDING CO) GAIN		1/8/2020	<input checked="" type="checkbox"/>	-													
SP	HUNTSMAN CORPORATION GAIN		5/20/2020	<input checked="" type="checkbox"/>	-													
SP	KROGER COMPANY GAIN	X	11/9/2020	<input checked="" type="checkbox"/>	-													
SP	BRUKER CORPORATION GAIN		5/20/2020	<input checked="" type="checkbox"/>	-													
SP	LKQ CORPORATION GAIN		4/7/2020	<input checked="" type="checkbox"/>	-													
SP	YUM CHINA HOLDINGS, INC. GAIN		1/8/2020	<input checked="" type="checkbox"/>	-													
SP	SOUTHERN COPPER CORPORATION GAIN		6/23/2020	<input checked="" type="checkbox"/>	-													
SP	SYNOVUS FINANCIAL CORP GAIN		5/20/2020	<input checked="" type="checkbox"/>	-													
SP	FREDERAL ALTY INVY TR FSI GAIN	X	2/11/2020	<input checked="" type="checkbox"/>	-													
SP	FREDERAL ALTY INVY TR FSI GAIN	X	1/8/2020	<input checked="" type="checkbox"/>	-													
SP	GLOBAL MARKET, INC GAIN		4/7/2020	<input checked="" type="checkbox"/>	-													
SP	DENTISTRY BIRONA INC GAIN		5/20/2020	<input checked="" type="checkbox"/>	-													
SP	HERWEIT PAC&AD ENTERPRISE CO GAIN		2/11/2020	<input checked="" type="checkbox"/>	-													
SP	VAVARIE, INC. GAIN CLASS A		3/5/2020	<input checked="" type="checkbox"/>	-													
SP	WESTERN MIDSTREAM PARTNERS LP GAIN		4/6/2020	<input checked="" type="checkbox"/>	-													
SP	CONTINENTAL RESOURCES, INC GAIN		2/11/2020	<input checked="" type="checkbox"/>	-													
SP	LOCKHEED MARTIN CORPORATION GAIN	X	11/3/2020	<input checked="" type="checkbox"/>	-													

Over \$50,000,000

Over \$1,000,000 (Spouse/DC Asset)







# SCHEDULE B - TRANSACTIONS

Ritu Ahuja 1995 Trust

Name: Rishi Khanna

Page 305 of 329

		Type of Transaction			Date (MM/YY) or Quarter, Month, or Day; if applicable	Amount of Transaction																
		Partial Sale	Sale	Purchase		A	B	C	D	E	F	G	H	I	J	K						
SP	ASSET																					
SP	BANK OZE CAN				2/11/2020	X																
SP	BANK OZE CAN				5/20/2020		X															
SP	SIGNATURE BANK CAN				3/5/2020		X															
SP	DISCOVER FINANCIAL SERVICES CAN		X		7/28/2020			X														
SP	NATIONAL OILWELL VARCO INC COMMON STOCK				3/5/2020			X														
SP	PIERRE CO PLC CAN				1/8/2020			X														
SP	FIRSTENERGY CORP CAN				5/20/2020			X														
SP	CAMPBELL SOUP CO CAN				5/20/2020			X														
SP	CINTAS CORPORATION CAN				5/20/2020			X														
SP	AERCAF HOLDINGS NV ORD CAN				7/28/2020			X														
SP	SPIRIT AEROSYSTEMS HOLDINGS INC CAN		X		7/28/2020			X														
SP	GRAFTECH INTERNATIONAL LTD, CAN				5/20/2020			X														
SP	PLR SYSTEMS INC CAN				3/5/2020			X														
SP	ROBERTT & PLATT, INCORPORATED CAN			X	3/5/2020			X														
SP	CONSOLIDATED Edison INC CAN		X		9/29/2020			X														
SP	IAZZ PHARMACEUTICALS P/LC CAN				5/20/2020			X														
SP	KOSMOS ENERGY LTD, CAN				5/20/2020			X														
SP	O-I GLASS, INC, CAN				5/20/2020			X														
SP	EDWARDS LIFESCIENCES CORPORATI CAN				11/2/2020			X														
SP	BURLINGTON STORES INC CAN				3/5/2020			X														
SP	BURLINGTON STORES INC CAN				9/1/2020			X														
SP	COHERENT INC, CAN				3/5/2020			X														
SP	EXACT SCIENCES CORPORATION CAN				2/11/2020			X														
SP	HILL-ROM HOLDINGS, INC, CAN				7/28/2020			X														
SP	VORNADO REALTY TRUST CAN				5/20/2020			X														
SP	OLIN CORPORATION CAN				5/20/2020			X														
SP	XPO LOGISTICS INC CAN				5/20/2020			X														
SP	SAFARIANDER CONSUMER USA HOLDING CAN				3/5/2020			X														

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please disclose "partial sale" in the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and discuss the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.



































# SCHEDULE B - TRANSACTIONS

Grandchildren's Trust

Name: Rohit Khanna

Page 21 of 326

DC	ASSET	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction																
		Purchase	Gift	Partial Sale			A	B	C	D	E	F	G	H	I	J	K						
DC	FIREBYE, INC. GAIN				<input checked="" type="checkbox"/>	12/30/2020																	
DC	FIREBYE, INC. GAIN				<input checked="" type="checkbox"/>	12/28/2020																	
DC	FIREBYE, INC. GAIN				<input checked="" type="checkbox"/>	12/22/2020																	
DC	URBAN OUTLETTERS INC GAIN				<input checked="" type="checkbox"/>	8/25/2020																	
DC	DEVON ENERGY CORPORATION (NEW) GAIN				<input checked="" type="checkbox"/>	10/7/2020																	
DC	DEVON ENERGY CORPORATION (NEW) GAIN				<input checked="" type="checkbox"/>	9/30/2020																	
DC	CTTGROUP INC. GAIN				<input checked="" type="checkbox"/>	4/9/2020																	
DC	DEVON ENERGY CORPORATION (NEW) GAIN				<input checked="" type="checkbox"/>	10/1/2020																	
DC	ORACLE CORPORATION GAIN				<input checked="" type="checkbox"/>	5/28/2020																	
DC	FIREBYE, INC. GAIN				<input checked="" type="checkbox"/>	1/7/2020																	
DC	LIBERTY GLOBAL, PLC. GAIN CLASS C				<input checked="" type="checkbox"/>	7/29/2020																	
DC	WESTINGHOUSE AIR BRAKE TECHNO. GAIN				<input checked="" type="checkbox"/>	7/29/2020																	
DC	GRAFHC PACKAGING HLDGCO GAIN				<input checked="" type="checkbox"/>	1/22/2020																	
DC	DIRH NETWORK CORPORATION GAIN CLASS A				<input checked="" type="checkbox"/>	7/29/2020																	
DC	BOOLAB INC. GAIN				<input checked="" type="checkbox"/>	4/24/2020																	
DC	WESTINGHOUSE AIR BRAKE TECHNO. GAIN				<input checked="" type="checkbox"/>	7/29/2020																	
DC	BERKSHIRE HATHAWAY INC. CLASS B				<input checked="" type="checkbox"/>	4/17/2020																	
DC	LIBERTY GLOBAL, PLC. GAIN CLASS C				<input checked="" type="checkbox"/>	3/24/2020																	
DC	CARSARS ENTERTAINMENT CORP GAIN				<input checked="" type="checkbox"/>	2/24/2020																	
DC	HANSEBRANDS INC. GAIN				<input checked="" type="checkbox"/>	7/24/2020																	
DC	HANSEBRANDS INC. GAIN				<input checked="" type="checkbox"/>	7/27/2020																	
DC	CARSARS ENTERTAINMENT CORP GAIN				<input checked="" type="checkbox"/>	2/24/2020																	
DC	CARSARS ENTERTAINMENT CORP GAIN				<input checked="" type="checkbox"/>	2/25/2020																	
DC	AMCAP HOLDINGS NV ORD GAIN				<input checked="" type="checkbox"/>	7/29/2020																	
DC	WOODWARD INC GAIN				<input checked="" type="checkbox"/>	7/29/2020																	
DC	GRAFHC PACKAGING HLDGCO GAIN				<input checked="" type="checkbox"/>	4/9/2020																	
DC	FIREBYE, INC. GAIN				<input checked="" type="checkbox"/>	5/1/2020																	

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A. \* Column K is for assets solely held by your spouse or dependent child.











**SCHEDULE D - LIABILITIES**

Name: **Rohit Khanna**

Page 326 of 326

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residences. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability											
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liabilities)	
Example	First Bank of Wilmington, DE	6/20	Mortgage on Rental Property, Dover, DE				X								
	Nel Net	4/03	Student Loan	✓											

**SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member, Board of Directors	Joint Venture Network, Silicon Valley
Trustee	The Ahuja Foundation

Use additional sheets if more space is required.