

AO 10
Rev. 3/2023**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2023**Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial) Komitee , Eric R.	2. Court or Organization US District Court - Eastern District of New York	3. Date of Report 5/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2023 to 12/31/2023
7. Chambers or Office Address 255 CADMAN PLAZA EAST BROOKLYN, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DIRECTOR	VIKING GLOBAL FOUNDATION INC. (NON-PROFIT CHARITABLE ORGANIZATION)
2. ALUMNI BOARD MEMBER (NON-FIDUCIARY)	EMORY COLLEGE
3. PROFESSOR	COLUMBIA UNIVERSITY LAW SCHOOL
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2023	COLUMBIA LAW SCHOOL, LECTURING COMPENSATION	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	RODEL INSTITUTE	September 2023	Syracuse, New York	Seminar	Transportation & Hotel
2.	GEORGE MASON UNIVERSITY SCALIA SCHOOL OF LAW	May 2023	Arlington, Virginia	Lecture and Panel Discussion	Transportation & Hotel & Meal
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. JP MORGAN	VISA CARD	L
2. AMERICAN EXPRESS	CREDIT CARD	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Viking Global Equities LP	H1	Dividend	P2	T					
2. Viking Global Opportunities LP	F	Dividend	P1	T					
3. D1 Capital Partners Onshore LP	A	Dividend	P2	T					
4. Junto Capital Partners LP	H1	Dividend	P2	T	Distributed (part)	02/15/23	O		
5. 151 Baltic ST JV LLC	A	Dividend	L	T					
6. Invesco QQQ Trust	D	Dividend	O	T	Sold (part)	04/03/23	O		
7. Ishares Russel 2000 ETF		None	L	T					
8. Lord Abbett Floating Rate A Fund		None	K	T					
9. Schwab US TIPS ETF	D	Dividend	M	T					
10. SPDR S&P 500 ETF	E	Dividend	P1	T					
11. Vanguard FTSE All World EX-US ETF		None	J	T					
12. SPDR Gold Shares ETF		None			Sold (part)	08/29/23	M	E	
13.					Sold	9/19/23	M	B	
14. JP Morgan Unallocated Gold Fund		None	J	T					
15. iShares MSCI EAFE ETF		None	K	T					
16. SPDR Dow Jones ETF		None	K	T					
17. Invesco Diversified Dividend Fund		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period			
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)
18. iShares S&P 500 Index Fund		None	M	T				
19. Clearbridge Large Cap Growth Fund		None	L	T				
20. iShares Russell Mid Cap Index Fund		None	L	T				
21. Delaware Small Cap Core Fund		None	L	T				
22. Invesco Asia Pacific Growth Fund		None	L	T				
23. Nuveen Real Estate Securities Fund		None	L	T				
24. Lehman Bros HLD VAR 2XXX	A	Distribution	J	T				
25. Vanguard S/T Investment - VFSTX		None	K	T				
26. iShares MSCI Emerging Markets - EEM	A	Dividend	J	T				
27. NY 529 Developed Markets Index Portfolio		None	L	T				
28. NY 529 Mid-Cap Stock Index Portfolio		None	M	T				
29. NY 529 Small-Cap Stock Index Portfolio		None	M	T				
30. NY 529 Inflation Protected Securities Portfolio		None	L	T				
31. iShares MSCI China ETF	B	Dividend	O	T	Sold (part)	02/13/23	O	
32.					Sold (part)	04/03/23	M	
33.					Sold (part)	12/27/23	K	
34. US Treasury Bonds	D	Interest	N	T				

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	
35. FINE TONY OUNCES OF UNALLOCATED GOLD		None	O	T	Sold (part)	02/13/23	N		
36.					Sold (part)	09/26/23	M		
37. JPM MANGAED INCOME FUND	B	Dividend	O	T					
38. JPM FLOAT RATE INC.	A	Dividend	M	T					
39. APPLE INC.	A	Dividend			Sold	05/11/23	J	D	
40. NOVOZYMES INC.	A	Dividend			Sold (part)	05/11/23	J		
41.					Sold	06/05/23	J		
42. TENCENT MUSIC ENTERTAINMENT		None			Sold	05/11/23	N		
43. ISHARE MSCI WORLD ETF	C	Dividend	M	T	Sold (part)	12/27/23	M		
44. BITCOIN (BTC)		None			Buy (add'l)	03/22/23	L		
45.					Sold	09/19/23	N		
46. ETHEREUM (ETH)		None			Sold	03/22/23	L		
47. LONG ISLAND, NY	E	Rent	P1	W					
48. LITCHFIELD COUNTY, CT	F	Rent	P1	W					
49.									
50.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Eric R. Komitee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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